Authority: Administration Committee Report No. 8, Clause No. 4, as adopted by City of Toronto Council on October 26, 27 and 28, 2004 Enacted by Council: October 28, 2004

CITY OF TORONTO

BY-LAW No. 989-2004

To adopt a new City of Toronto Municipal Code Chapter 217, Records, Corporate (City), to establish retention periods during which the records of the City must be retained and preserved, and to amend Chapter 219, Records, Corporate, to reflect the new chapter.

WHEREAS under section 255 of the *Municipal Act, 2001* a municipality may, subject to the approval of the municipal auditor, establish retention periods during which the records of the municipality and local boards of the municipality must be retained and preserved; and

WHEREAS the authority for the acquisition non-government records has changed from paragraph 24 of section 207 of the *Municipal Act* to the "culture, parks, recreation and heritage" sphere of jurisdiction in section 11 of the *Municipal Act*, 2001 (as interpreted under section 9); and

WHEREAS Municipal Code Chapter 219, Records, Corporate, presently includes articles for the records retention schedules of local boards, the acquisition of non-government records and records retention provisions for transitory and duplicate records that apply to City departments, and will now just contain the schedules of City local boards or similar entities; and

WHEREAS as the result of the establishment of the Auditor General position and Internal Audit division, the City's external auditor is now the "municipal auditor" for the purposes of subsection 255(3) of the *Municipal Act*, 2001;

The Council of the City of Toronto HEREBY ENACTS as follows:

1. The City of Toronto Municipal Code is amended by adding the following chapter:

Chapter 217

RECORDS, CORPORATE (CITY)

ARTICLE I Acquisition of Non-Government Records

§ 217-1. Definitions.

As used in this article the following terms shall have the meanings indicated:

ACQUISITION POLICY — The Acquisition Policy for the City of Toronto Archives as adopted and amended by Council from time to time.

COLLECTION — An artificial accumulation of documents of any provenance brought together on the basis of some common characteristic, such as way of acquisition, subject, language, medium, type of document or name of collector, which may be managed and described as a unit under a common title.

DOCUMENT — A unit of recorded information regardless of form or media.

NON-GOVERNMENT RECORD — Does not include a record that is created by:

- A. An individual as a result of employment with the City of Toronto, its predecessor municipalities or its agencies, boards, commissions or other special purpose bodies; or
- B. An organization that is required to submit records retention schedules for approval by Council and the City's external auditor under the *Municipal Act*, 2001.

RECORD — A document made or received in the course of the conduct of the affairs of a person or organization, and preserved by that person or organization for the purposes of future reference.

§ 217-2. Requirements for acquisition of non-government records or collections by City.

A non-government record or a collection of documents shall be acquired as a donation to the City subject to the following requirements:

- A. The record or collection of documents meets the terms of the mandate for the archives program in the acquisition policy.
- B. The record or collection of documents is deemed to meet the appraisal criteria set out in the acquisition policy.
- C. The acquisition of the record or collection of documents has been approved by the Director, Corporate Records Systems and City Archivist in accordance with the acquisition policy.
- D. The donor of the record or collection of documents has entered into an agreement satisfactory to the City Clerk and the City Solicitor.

§ 217-3. Signed agreement.

The City Solicitor is authorized to prepare an agreement required under § 217-2D, and the City's authorized signing officers are authorized to sign the agreement and affix the City's corporate seal to it when directed to do so by the City Solicitor.

ARTICLE II Records Retention Schedule

§ 217-4. Definitions.

A. As used in Articles II and III and in Schedule A to this article, at the end of this chapter, the following terms shall have the meanings indicated:

ARCHIVES — The City of Toronto Archives.

ARCHIVAL REVIEW PERIOD — The period of time during which a qualified Archivist working under the direction of the Manager, Archival Services, conducts a systematic appraisal of records having potential archival value.

ARCHIVAL VALUE — The evidential and informational value of records, which is determined during appraisal and justifies the records preservation as archives.

COMPUTER SYSTEM — A device or a group of interconnected or related devices, one or more of which:

- (1) Contains computer programs or other data; and
- (2) Pursuant to computer programs, performs logic and control, and may perform any other function.

CONTENT, CONTEXT or STRUCTURE:

- (1) That which conveys information, including text, data, symbols, numerals, images and sound;
- (2) The appearance and arrangement of the content, including relationships between fields, entities, language, style, fonts, page and paragraph breaks, links and other editorial devices; or
- (3) Background information that enhances understanding of technical and business environments to which the data relates, such as metadata, application software, logical business models and the origin, such as address, title, link to function or activity, agency, program or section.

DATA — Representations of information or of concepts, in any form, that are recorded or stored on any medium in or by a computer system or other similar device and that can be read or perceived by a person or a computer system or other similar device, including a display of that data.

DISPOSE — To destroy a record or to transfer a record to the Archives.

FORMER MUNICIPALITIES' RECORDS RETENTION BY-LAWS — The following by-laws:

- (1) Former Borough of East York By-law No. 26-91, being a by-law "To establish schedules of retention periods for Municipal Records," as amended;
- (2) Former City of Etobicoke Municipal Code Chapter 28, Document Retention;
- Former Municipality of Metropolitan Toronto By-law No. 2561, being a by-law "To establish schedules of retention periods for records of the Municipality of Metropolitan Toronto," as amended;
- (4) Former Municipality of Metropolitan Toronto By-law No. 2696, being a by-law "To establish schedules of retention for local boards of The Municipality of Metropolitan Toronto, as amended;"
- (5) Former City of North York By-law No. 27502, being a by-law "To establish a schedule of retention periods for records in the possession of the City of North York and the Hydro-Electric Commission of the City of North York;"
- (6) Former City of Scarborough By-law No. 24987, being a by-law "To establish a schedule of retention periods for records in the possession of the City of Scarborough;"
- (7) Former City of Toronto Municipal Code, Chapter 97, Records Retention; and
- (8) Former City of York By-law No. 2371-76, being a by-law "To establish schedules of retention periods for municipal records," as amended.

ORPHAN DATA:

- (1) Data that is not machine readable by any of the City's computer systems on December 20, 1999, because the data exists with no identifiable computer application that can retrieve the data; or
- (2) Data that is machine readable but does not have sufficient content, context or structure to render it understandable by an experienced City employee who is knowledgeable about the business function or functions to which the data relates.

RECORD — The same meaning as in subsection 1(1) of the *Municipal Act*, 2001. [This meaning is noted as follows for reference purposes only:

"record" means information however recorded or stored, whether in printed form, on film, by electronic means or otherwise, and includes documents, financial statements, minutes, accounts, correspondence, memoranda, plans, maps, drawings, photographs and films;] RECORDS SERIES — A group of records that support a particular City program or operation, that are filed together, and need to be retained for the same period of time.

RETENTION PERIOD — The period of time during which a specific records series must be kept by the City before records in that records series may be disposed of.

RETENTION SCHEDULE — The schedule prescribing how long a specific records series must be retained before they may be disposed of.

TRANSITORY RECORD —A record that is:

- (1) Retained solely for convenience of reference;
- (2) Required solely for the completion of a routine action, or the preparation of another record;
- (3) Of insignificant or no value in documenting City business transactions;
- (4) Not an integral part of a City record;
- (5) Not filed regularly with records or filing systems;
- (6) Not required to meet statutory obligations or to sustain administrative or operational functions;
- (7) About social events that are not special City events;
- (8) Not related to City business; or
- (9) A voice mail message.
- B. As used in the retention schedule in Schedule A to this article, at the end of this chapter, the following abbreviations and terms shall have the meanings indicated:

ACTIVE — The period of time in years, unless otherwise stated, that the records must be kept in the active records office area.

C or CURRENT YEAR — The calendar year in which the records were created.

CODE — The reference number for each records series, based on the corporate records classification system (CRCS).

CORPORATE HOLDER — The City division responsible for maintaining the records series.

DISPOSITION — The destruction of a record or transfer of a record to the Archives, as indicated in a retention schedule by one of the following designations which have the meanings indicated:

- (1) ARCHIVES Retain the records permanently in the Archives.
- (2) ARCHIVAL REVIEW Destroy the records after review by an archivist, except for selected records that are transferred for permanent retention in the Archives, based on appraisal criteria.
- (3) DESTROY The records are destroyed once the total retention period has expired.

INACTIVE — The period of time in years, unless otherwise stated, that the records must be kept in the City Records Centre, following the active period.

MEDIA:

- (1) The physical format of the records series, for example, paper, electronic, microfilm.
- (2) If the retention schedule indicates "all media", records in any format are included.

P or PERMANENT — A record that is never destroyed.

RECORDS SERIES TITLE — The title and detailed description of the types of records included in each records series.

S or SUPERSEDED: — A record that is replaced by an updated record.

T or TERMINATED: — A retention period that is calculated from a specific type of event, as explained under each records series.

TOTAL — The total retention period, including active and inactive retention periods.

§ 217-5. Retention schedule.

- A. The records of the City shall be retained and may only be destroyed as set out in:
 - (1) The retention schedule in Schedule A to this article, at the end of this chapter; or
 - (2) If still applicable, one of the former municipalities' records retention by-laws.
- B. The Director of Corporate Records Systems and City Archivist shall develop and administer the retention schedule.

- C. In determining the retention period for a records series, the Director of Corporate Records Systems and City Archivist shall consult with the City Solicitor and the Director of Internal Audit, and, as appropriate, with the other staff of City departments, local boards and the City's external auditor.
- D. A Corporate Records Retention Committee, with representatives appointed by the Director of Corporate Records Systems and City Archivist, the City Solicitor and the Director of Internal Audit, shall meet regularly to:
 - (1) Review and recommend amendments and additions to the retention schedule, for submission to the Administration Committee and approval by City Council; and
 - (2) Ensure that the retention schedule complies with all relevant legislative, financial and historical requirements for records retention.
- E. A retention period for a records series shall be based on the following:
 - (1) The administrative value of the records based on the period of time during which the City uses a record to perform its functions;
 - (2) The legal value of the records, based on the period of time necessary to meet statutory or other regulatory requirements, requirements imposed by an agreement, permit or similar document, or to ensure that records are available in case of litigation or investigation;
 - (3) The audit value of the records, based on the period of time required for audit or tax purposes; and
 - (4) The archival value of the records, based on the long-term value of the records to document past events or the origins and history of the City.

§ 217-6. Responsibility of City staff.

All City staff who work with, create or manage records shall:

- A. Manage and maintain records in their custody or control as corporate assets that belong to the City, and not to individual staff, City business units or departments that have custody of those records;
- B. Comply with the retention periods in the retention schedule;
- C. Apply retention periods and dispose of records only in accordance with the retention schedule;
- D. Ensure preservation and security of records as directed under this chapter;
- E. Ensure that records in their custody or control are protected from inadvertent destruction or damage, in accordance with the measures established under § 217-7;

- F. Retain and preserve records in an accessible manner so that the records can be retrieved within a reasonable time and are in a format that allows the content of the records to be readily ascertained by a person inspecting the records; and
- G. Ensure that transitory and duplicate records in their custody or control are destroyed when they are no longer needed for short-term reference.

§ 217-7. Responsibility of Director of Corporate Records and City Archivist.

The Director of Corporate Records Systems and City Archivist shall:

- A. Provide a records centre service to all City departments and may provide this service to City agencies, boards and commissions by special arrangement;
- B. Ensure the preservation, security and disposition of records in the custody of the records centre, in accordance with the retention schedule;
- C. Ensure that records, other than transitory records or duplicate records, are destroyed only with the authorization by designated management staff in the department that has custody or control of the records; and
- D. Ensure that reasonable measures to protect the City's records from inadvertent destruction or damage are defined, documented and put in place, taking into account the nature of the records to be protected.

§ 217-8. Principles of records destruction.

The following principles govern the destruction of records:

- A. When there are no further legal or business reasons for retaining records, the records shall be destroyed as a class rather than selectively.
- B. Records pertaining to pending or actual litigation or investigation shall not be destroyed with the class of records to which they relate.
- C. Records shall be destroyed in a way that preserves the confidentiality of any information they contain.

ARTICLE III

Destruction of Certain Computer Data; Transitory and Duplicate Records

§ 217-9. Destruction of orphan data.

Despite the former municipalities' records retention by-laws and Article II, but subject to § 217-10, orphan data in the custody or control of the City may be destroyed on or after December 20, 1999.

§ 217-10. Documentation prior to destruction of orphan data.

Prior to the destruction of any orphan data, the following documents are required:

- A. A written description of the data containing, to the extent that such information is obtainable, the following:
 - (1) The title of the system.
 - (2) The identification of the business unit that is responsible for the creation or use of the data.
 - (3) A brief description of the system's purpose.
 - (4) A brief description of any subsystems, their purpose and relationship to the main system or other subsystems.
 - (5) The name of the technical contact person who is responsible for documenting the system.
- B. The written approval of the department head or designate who is responsible for the business function to which the data relates.
- C. Where applicable to satisfy the provisions of the *Income Tax Act* (Canada), the *Excise Tax Act* (Canada), the *Employment Insurance Act* (Canada) or the *Canada Pension Plan*, an exemption from the requirement to keep records in an electronically readable format from the Minister of National Revenue, on such terms and conditions as are acceptable to the Minister.

§ 217-11. Retention of documentation.

The documents described in § 217-10A and B shall be submitted to, and kept by, the Director, Corporate Records Systems and City Archivist, as required under Article II or, if applicable, a former municipalities' records retention by-law

§ 217-12. Transitory and duplicate records.

- A. Despite Article II and the former municipalities' records retention by-laws, but subject to the *Municipal Freedom of Information and Protection of Privacy Act* and the *Personal Health Information Protection Act, 2004*, this section applies to the retention of all records created or received by City employees.
- B. A transitory record may be deleted or otherwise destroyed on the same day that the record was created or received.
- C. A copy of a record may be destroyed at any time if the original is subject to a retention period established by this by-law or by one of the former municipalities' records retention by-laws.

D. A micrographic copy of a record shall be deemed to be the original for the purposes of this chapter and the former municipalities' records retention by-laws, and the records that have been microfilmed may be destroyed at any time, subject to § 217-13.

§ 217-13. Archival review period.

- A. At the end of the archival review period, a record that has been microfilmed, other than a copy of the record or a transitory record:
 - (1) Shall be transferred to the custody and control of the Archives program operated by the City Clerk's Division if the original record possesses archival value; or
 - (2) Shall be destroyed if it does not possess archival value, and if there is no further business or legal requirement to retain the record.
- B. The archival review period shall be deemed to end on the date that the Director, Corporate Records Systems and City Archivist certifies that the archival review is complete and the record has no archival value.

ARTICLE IV Transition

§ 217-14. Conflicting provisions.

In the case of a conflict between this chapter and the records retention provisions of any by-law not listed in the definition of "former municipalities' records retention by-laws," this chapter prevails.

- 2. The City of Toronto Municipal Code is amended as follows:
 - A. By adding "Schedule A to Article II of Chapter 217", as set out in Schedule A at the end of this by-law.
 - B. By amending Chapter 219, Records, Corporate, as follows:
 - (1) By amending the title to the chapter by inserting "(Local Boards)" after "Corporate".
 - (2) By deleting Articles III, and IV (which are now incorporated in Chapter 217).

3. This by-law comes into force upon approval by the City's external auditor.

ENACTED AND PASSED this 28th day of October, A.D. 2004.

DAVID R. MILLER, Mayor ULLI S. WATKISS City Clerk

(Corporate Seal)

SCHEDULE A

SCHEDULE A TO CH. 217, ART. II RECORDS RETENTION SCHEDULE

	Functional Category:	Description:					
Α	Assets and Property Management	Records relating to the cons owns or leases. This may uniforms, artifacts and herita	include buildin	gs, facil	ities, lan		
A0033	Artifacts	EDCT – Culture	All Media	Р	0	P File to remain active unt existence	Archives il artifact no longer in
	photographs, and tools. Th accessioning, and inventory	ection and preservation of art lese artifacts are preserved a of City-owned artifacts. Do appraisals, and donation statem	s evidence of the ocuments may in	e City's	s historic	al culture. Includes infor	mation on the acquisition,
A0035	City Properties Renaming	EDCT – Culture	All Media	C+2	7	C+9	Archives
		ming of City streets, parks, ls, events, and areas of historic s and drawings.					
A0046	Archaeological Assets	EDCT – Culture	All Media	Р	0	P File to remain active un longer in existence	Archives ntil archaeological asset no
	evidence for the understanding	nination, appropriation, and ding and appreciation of human gs and supporting corresponde	ity's past and ma			al findings. Archaeologi	

A0047	ancient, pre-industrial, and i archaeological assets. May	EDCT – Culture ogical sites within the City's be ndustrial settlements. Archaed include information on land e s and drawings, applications for	ological excavate expropriation an	tions are d locatio	often co ons of ar	longer in existence of archaeological signifi inducted on such sites in tifact findings. Docum	n order to locate and retrieve ents may include excavation
		orts, archaeological assessment					
A0167	Books and Subscriptions	CS	All Media	C+1	1	C+2	Destroy
	for professional newsletters,	bscriptions, books, and digital j , printed and electronic journa lay purposes. Documents m	als, business an	d news	periodica	ils, and book orders. Su	bscriptions may be used for
A0172	Office Supplies	CS	All Media	C+1	1	C+2	Destroy
		ting and provision of office su leted materials store warehou					
A0173	properties. This may include Documents may include cop	CS - Facilities & Real Estate gement and tracking of operati is information on file cabinets, s bies of purchase orders, user g bies agreements, and copies of wa	onal equipment helving units, ca uidelines, opera	ash regis	ters, vote	tabulating machines, de	sks, chairs, and lawn mowers.

A0176	Employee Uniforms	CS	All Media	C+1	1	C+2	Archival Review
	This includes information or	designated uniforms for law en	nforcement, par	amedic, l	houseke	eping, works,	lothing and footwear for City employees. , fleet, and fire-fighting staff. Documents pies of invoices, and correspondence.
A0270	Computer System Implementation & Management	CS	All Media	S	7	S+7	Archives
	Records relating to the devel on computer resources, techn		ent, user require	ements, p	roject de	efinitions, and	by the City. This may include information d database management. Documents may tatements, and correspondence.
A0271	Telecommunications and Electronic Communication Systems	CS - Information & Technology	All Media	S	7	S+7	Destroy
	Records relating to the inst telephone, cable, fibre optics	, electronic mail, voice mail, fax ce, change requests, copies of se	, pager, cell pho	one, and e	emergen	cy systems ar	t and systems. Includes information on ad processes. Documents include requests copies of purchase orders, and service call
A0272	Computer Hardware	CS	All Media	S	7	S+7	Destroy
					-	*	in computer systems. Hardware includes

Records relating to installing and maintaining computer hardware, which is the physical equipment used in computer systems. Hardware includes laptop and notebook computers, personal computers, network hardware, and peripheral hardware. May include information on hardware replacements, specifications, and capacity planning. Documents include copies of purchase orders, copies of license agreements, hardware manuals, requests for installation and maintenance, and hardware inventories.

A0273	Computer Software	CS	All Media	S	7	S+7	Destroy
1102/5	computer bortware	CD	7 III Meala	5	,	517	Destroy

Records relating to computer software, which are computing programs that can be used in conjunction with various computer and operating systems. May include information on tracking of software upgrades, sign-in authorization codes, and software development. Documents may include upgrade notifications, requests for additional site/user licenses on network applications, recommendations or reports regarding specific software products, user lists, copies of purchase orders, copies of license agreements, software user manuals, requests for software installation, and software inventories.

A0290 Computer System Networks CS All Media S 7 S+7 Destroy

Records relating to the City's interconnected computing systems and components, which are cables and other devices that connect workstation computers with one another for the purposes of data transmission and sharing. Includes the establishment and ongoing management of local area networks (LAN), wide area networks (WAN), and the metropolitan area network (MAN). Documents may include network functional review statements and reports, user complaints, network needs specifications, and correspondence.

A0500	Property Design Planning	CS - Facilities & Real Estate	All Media	C+2 7	C+9	Archives
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Records relating to internal initiatives intended to ensure effective construction and renovation of City-owned property, including buildings and other structures, through analysis of, and compliance with, all issues that may affect the actual work. This is performed prior to the construction or renovation work actually being undertaken. May include information on floor load capacity, space planning, need for ventilation, and other design requirements. Documents may include project proposals, structural investigation reports, feasibility studies, preventative maintenance studies, noise and signage studies, consultant selection criteria, staffing layout plans, detailed needs analysis reports, and copies of capital budget statements.

A0501	Construction and	CS	All Media	Т	20	T+20	Archival Review
	Renovation					T = File	closed upon completion of construction
						and/or rer	novation project.

Records relating to construction and renovation of City-owned or leased buildings and parks. Also includes information relating to the construction and renovation of buildings and properties which the City does not own or lease but may help operate. Documents may include renderings for specification guidelines, reports, commentary, guidelines, architectural and engineering drawings, consultant reports, land appraisals, photographs, material and environment testing reports, and building phase reports. May also include copies of contracts, building permits, and insurance damage claims.

A0502	Property Maintenance	CS	All Media	Т	20	T+20 Destroy T = File closed upon expiration of contract.
	maintenance of City parks.	May include information on law	vn-mowing, bui	lding and	d elevato	perty, and the scheduled and ongoing landscaping and r inspections, and janitorial services. Documents may orders, copies of contracts and supporting agreements,
A0504	Parks Title Histories	EDCT - Policy &	All Media	Р	0	P Archives
		Development				File to remain active until park no longer in existence
	park creations; dedications f	or existing and obsolete parks;	opening ceremo	ony repoi	rts and m	hay include original drawings, plans, and proposals for memoranda; and documentation describing the land use ertaining to existing or obsolete parks.
A0505	Parks Management	EDCT - Parks & Recreation	All Media	C+2	4	C+6 Archives
	staffing requirements, park include civic proposals for	conditions, facility statistics, ar	nd suggested pa plaints, request	ark impro	ovements	ds, and open spaces. May include information on park s, such as path paving and lighting. Documents may nts, public commendations, copies of park inspection

A0508	Facility and Room Bookings	CS - Facilities & Real Estate	All Media	C+1	1	C+2	Destroy
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Records relating to selecting and reserving City office facilities or individual rooms for meetings, instructional seminars, and other professional purposes. Documents may include facility and room availability schedules, facility and room booking schedules, and correspondence.

A0510	Workplace Space Planning	CS - Facilities & Real Estate	All Media	S	5	S+5	Destroy
	and Moves						
	Records relating to determini	ng workplace space requiremen	ts, planning a	nd imple	menting	moves of offi	ce equipment and employees to different
							ion relating to space allocation, tenancy
	expiry, and corporate organization	ational moves. Documents may	include repor	ts and st	atistics c	oncerned with	current and future space accommodation
	requirements, expansion plans	s, space inventories, move sched	lules, floor pla	ins for al	l move st	ages, detailed	needs analyses of client groups impacted
	by moves, copies of committe	e minutes and agendas, and all s	upporting cor	responde	nce.		

 A0512
 Park Dedication
 EDCT - Policy & All Media
 C+2
 7
 C+9
 Archives

 Development
 Records relating to parkland and open space dedications, which officially ensure that designated land is reserved for parkland or open space. May

include information on parkland dedication requirements, planning, and scheduled activities. Documents may include proposals, parkland requirements reports and statistics, and correspondence.

A0513Urban Forestry Planning
and ManagementEDCT - Parks & Recreation
Parks & RecreationAll Media
T+2T+20
T = File closed upon completion of project.Records relating to the management and preservation of trees and forests located in City of Toronto parks and streets. This includes information
relating to the planting, maintenance, protection, and removal of trees. May also include information on mineral deposits, tree diseases, soil
analysis, pesticide spraying, pruning, and planting regimens. Documents may include tree canopy reports, tree health reports, trees species
inventories, and newsclippings.

A0518 Security and First Aid CS - Facilities & Real Estate All Media C+2 4 C+6 Destroy

Records relating to the physical security of City of Toronto-owned buildings, properties, parks, and facilities. Security measures are intended to guard against damage and unauthorized intrusion and to ensure personal safety. May include information on identification tags, security and alarm systems, authorized use of keys and access cards, first aid treatment as administered by City security personnel and employee evacuations. Documents may include security clearance files, security logs & security user guide books.

$\Delta 1/101$	City Heritage Properties	EDCT – Culture	All Media	$C \perp 2$	7	$C \perp 9$	Archives
A1401	City neritage Properties	EDCI - Culture	All Media	C+2	/	C+9	AICHIVES

Records relating to City properties of historical significance which are protected from demolition and whose architectural styles are preserved for future generations. May include legal descriptions of the designated properties, information on listed properties, information on visitors, and property history files (including property name and address). Documents include copies of applications for inclusion on the City's inventory of heritage properties, requests for grants, building plans, copies of designation by-laws, copies of council reports and agendas, heritage property reports, correspondence from architects, and letters received from property owners and lawyers.

A1544 Work Orders and Internal CS All Media C+2 4 C+6 Destroy Requisitions Records relating to the creation and processing of work orders and internal requisitions. Work orders are issued to City employees or contractors

and indicate required tasks to be performed on City property, whereas internal requisitions are used to obtain goods or services from a City department. Includes cyclical, emergency, preventive, non-emergency, and maintenance completed work order forms and internal requisition forms. Arrange case files numerically by work order or internal requisition number.

Functional Category: Description:

Development and Planning Records relating to the management of economic and business development initiatives, conducted both directly by the City and by independent parties; and the planning and development of City buildings, facilities, parks and properties, prior to actual construction. Includes records relating to rezoning, business improvement initiatives, and building and land development plans.

 D0026
 Parks and Open Spaces
 EDCT - Parks & Recreation
 All Media
 T
 P
 P
 Permanent

 Development
 T
 File closed upon termination of project

 Records relating to the planning and development of parklands and open spaces for recreational purposes. This includes information about determining the necessity and feasibility of proposed land conversion or installation of recreational structures, including recommendations for additional facilities. Documents may include external and internal studies, public comments and surveys, and correspondence.

	Functional Category:	Description:					
F	Financial Management	Records relating to the City's funds. Includes records relating					
F0045	Fees Administration	All	All Media	C+2	4	C+6	Archives
	use of City property. This ma students, and residents living	ination and administration of for ay include information on fees on fixed incomes. These fees determination studies and repo	charged by other serve as a sourc	municij e of reve	palities f enue for	or similar services; and d the City. Documents ma	iscounts for senior citizens,
F0169	Fundraising and Donations	All	All Media	C+2	4	C+6	Archives
	campaigns and financial dona	opment and organization of fut tions, including donated funds, nd agendas, activity planning so	received by the	City from	m variou	s organizations and indiv	iduals. Documents include
F0400	Capital Assets	All	All Media	Т	7	T+7 T = Life of Asset	Archives
	May include information on	capital and fixed assets, which capital assets such as machino ocuments include capital assets	ery, land and la	nd impr	ovement	t purchased or sold in the s, equipment, works of a	rt and historical treasures,
F1500		FIN management of the City's finar diagrams, financial signing aut					

F1560	Petty Cash	FIN	All Media	C+2	5	C+7	Destroy
	used for the purchase of inex	agement of petty cash accounts, spensive, out-of-pocket goods ar ations, requests for reimburseme	nd/or services, fo	r which	immedia	e reimbursement can be	claimed. Documents include
F1562	Personal Expense Claims	FIN	All Media	C+2	5	C+7	Destroy
		ployees' claims for reimbursement ng air travel, attending conference.					
F1570	Accounts Receivable	FIN – Accounting Services	All Media	C+2	4	C+6	Destroy
	parking, permit, landfill du Documents may include har	s received by the City through the imping, and other user fees. ad-written and machine-readable receivable and revenue reconc	May include in e receipts, payme	formatio ent balan	n on the icing stul	administration and rec os, invoices documenting	eipt of refunds and credits. g the receipt of payment from
F1575	Write-Offs	FIN – Accounting Services	All Media	Т	7		Destroy occurrence of making debt ecision made to write off
	~		1 01 11				

Records relating to the dismissal of unpaid debts owed to the City. These debts are considered to be not collectible owing to such factors as customer bankruptcy or business closure. Documents may include invoices and correspondence

F2157	Financial Statements and Reports	FIN – Accounting Services	All Media	C+2	Р	Р	Permanent
	Records relating to the produ users (auditors, creditors, pro	ovincial and federal government	agencies, etc.).	These do	cument	s provide sum	al information for a wide variety of public maries, details, and analyses of the City's ability statements, net assets sheets, and
F2207	Cheque Register Reports	FIN – Accounting Services	All Media	C+2	5	C+7	Destroy
	expenditures and expense vo Includes information on cho	ouchers. They also provide codi	ing for each che t, amounts paic	eque issue d, and ru	ed and 1 nning b	may be used t valance. Docu	ocument the pertinent details concerning track cheques administered by the City. ments include void cheques, cheque run
F2301	Bank Statements and Reconciliations	FIN – Accounting Services	All Media	C+2	5	C+7	Destroy
	Records relating to the rece deposits into, bank accounts the balance of a bank account	over a given period of time, and	d they also indi palance appearing	icate a ba ng in the	nk acco banks' i	ount's current records and ex	le information on withdrawals from, and financial status. Reconciliations compare plain any discrepancies. Documents also
F2303	Cheques	FIN – Accounting Services	All Media	C+2	5	C+7	Destroy

Records relating to the management and processing of City and other cheques. Includes information on the issuance of cheques by the City to other organizations. Also includes information relating to City-issued cheques and external cheques that are returned to the City after they have been cashed by banking and financial institutions, or if they have been dishonoured. Documents include cancelled, processed, and dishonoured cheques; cheque reports, and supporting correspondence.

F2313	General Ledger Accounts	FIN – Accounting Services	All Media	C+2	Р	Р	Permanent	
							edger account serves as a repository of Includes copies of accounting entries and	
F2350	Journal Entries	FIN – Accounting Services	All Media	C+2	5	C+7	Destroy	
	Records relating to the production and use of journal entries. These documents record the purchase or sale of goods and services (from the City to outside agencies and also between City departments), transfer revenue and charges, and correct posted transaction errors. Documents may include completed journal entry forms, transaction journals, copies of invoices and receipts, and correspondence.							
F2401	Accounts Payable	FIN – Accounting Services	All Media	C+1	5	C+6	Destroy	
	advance payments of exper	nses. Source documents initiatir ata. May also include accounts	ng payments in	clude ve	ndor inv	voices, payme	d services. May include information on ent certificates, cheque requisitions, and s. Case files are arranged numerically by	
F3305	Capital Budget	FIN – Financial Planning	All Media	Т	7		Archives losed from end of the last year in which budget is expended	
	funds planned to be expende	d for capital items in a given fise	cal year. Capita	al budgeti	ing is ne	pital budget co cessary to con	ontrols the allocation and appropriation of astruct or acquire fixed assets for the City internal chargeback costs directives.	

F3307 FIN – Financial Planning All Media C+7 Operating Budget C+25 Destroy

Records relating to the management and administration of the operating budget accounts. The operating budget concerns the allocation and appropriation of funds necessary to finance all operating expenses for a fiscal year, for all City departments and offices. Operating expenditures are used during a particular period directly in support of daily operations, such as wages, office supplies, and maintenance costs. Includes copies of budget process procedures, directives, variance reports, expenditure forecasts and reports, and budget submissions.

F5857 Grants and Subsidies All All Media C+2 5 C+7 Archives

Records relating to subsidies and grants received and administered by the City of Toronto. Includes information on obtaining and distributing federal, provincial, and municipal subsidies and grants. Documents include follow-up spending evaluation reports, grant applications, review and decision statements, correspondence, and supporting documentation relating to the City's entitlement to subsidies and grants.

Functional Category: Description:

- **G Governance** Records relating to the ways in which the City is governed and regulated to ensure efficiency, effectiveness, and compliance with statutory requirements. Includes records of the City's legislative process, such as the agendas and minutes of Council, its standing committees and community councils; City bylaws and the Municipal Code; formal policies and procedures; and annual reports of departments and special purpose bodies. Also includes all legislation and other municipal by-laws that might affect the City; records relating to non-municipal government bodies; election records; and records relating to provincially-mandated services that the City provides, such as birth and death registrations.
- $G0001 \quad City Council \qquad CS City Clerk's \qquad All Media \qquad C+3 \qquad 0 \qquad C+3 \qquad Archives$

Records relating to the meetings, duties, and functions of the City Council. The City Council is the legislative body responsible for enacting ordinances and resolutions, adopting the City budget, representing the City, and carrying out a variety of municipal responsibilities. Includes Council certificates of amendments (resolutions), agendas, minutes of meetings, bills index, correspondence, memoranda, notices of motions, and committee reports.

 G0002
 City Municipal Code and CS – City Clerk's
 All Media
 T
 0
 T
 Archives

 By-laws
 T = File closed upon Council approval

 Records relating to chapters of the Municipal Code and by-laws as passed by City Council.
 The Municipal Code consists of all regulatory and administrative ordinances and is adopted by City Council, and by-laws constitute the City's rules or laws. Includes by-laws from the former municipalities that were amalgamated to form the current City.

G0003	City Committees of Council	CS – City Clerk's	All Media	C+3	0	C+3	Archives
	Records relating to the meeting minutes of meetings, agendas,	ngs, duties, and functions of bo reports, and correspondence.	pards, standing c	committe	es and o	ther committees of City	Council. Documents include
G0004	City Sub-Committees, Task Forces and Special Committees of Council	CS – City Clerk's	All Media	C+3	0	C+3	Archives
	•	ngs, duties, and functions of t agendas, reports, and correspon-		ees, task	forces, a	and special committees of	of City Council. Documents
G0005	City Community Councils	CS – City Clerk's	All Media	C+3	0	C+3	Archives
	forum for local input into t	ngs, duties, and functions of the he Council's decision-making ments include agendas, minutes	process. They	/ may n	nake rec	ommendations on local	•
G0006	City Local Boards	CS – City Clerk's	All Media	-	4	C+6	Archives
	local authorities (e.g., planni	ngs, duties, and functions of C ng and zoning boards). These , correspondence, and reports.					
G0009	Intergovernmental	All	All Media	C+2	4	C+6	Archival Review
	impact on the City's admini	ings and functions of agencies stration and operations. Inter tes of meetings, agendas, corres	governmental o	rganizat			

G0020	Provincial Ministries and Agencies	All	All Media	C+2	4	C+6	Archival Review
	Records relating to Ontario administration and operation	ns. Subjects may include the	exchange of in	formatio	n, routi	ne notification	act on, or be involved with, the City's ns and inquiries, and offers of service. ag statements, and correspondence.
G0030	Federal Government Departments and Agencies	All	All Media	C+2	4	C+6	Archival Review
	Records relating to federal go operations. Subjects may inc		tion, routine noti	fications	and inq	uiries, and of	olved with, the City's administration and fers of service. Documents may include ace.
G0035	Foreign Government Departments and Agencies	All	All Media	C+2	4	C+6	Archival Review
	Records relating to all foreign operations. Subjects may in	0 1	tion, routine not	ification	and inq	uiries, and off	olved with, the City's administration and fers of service. Documents may include
G0040	Other Municipal Government Departments and Agencies	All	All Media	C+2	4	C+6	Archival Review
	Records relating to the depar	e	1 0			•	pact on, or be involved with, the City of ions and inquiries and offers of service

Toronto's administration and operations. Subjects may include exchange of information, routine notifications and inquiries, and offers of service. Documents may include municipal government strategy and planning reports, municipal government funding statements, and correspondence.

G0080	Etobicoke, North York, Scar information about proposed	All cesses and initiatives concerne borough, and Toronto; and the new City services and functions lanning reports and studies, com	former Regional s, department and	Municip 1 service	oality of l s amalga	amalgamation proces r borough of East Yo Metropolitan Toronto; mation, realignment an	rk; the former cities of York, into the current City. Includes
G0130	City Departments	CS	All Media	C+2	4	C+6	Destroy
	-	verall mandates, administration between various departments. ls.			• •		
G0150	• •	CS slation, and regulations from pro tration and operations. Also inc		-	-	-	• •
G0244	and manuals. May also i	All uction and formal approval of o include information on corpor is related to corporate governance	ate and departr	-			
G0260	protection of personal inform and supporting corresponder		ay include impac	t assessm	nents, cop	pies of policies and guid	access to City records and the lelines, data-sharing checklists,
Potentic	on Legend: Act - Active: Ina	- Inactive: $\Lambda = \Lambda rchives: C$	- Current Vear	M – M	onth(s).	$\mathbf{P} = \mathbf{P}_{armanant} \cdot \mathbf{S} = \mathbf{S}$	Superseded: T - Termination

G0261	Access to Information and	CS – City Clerk's	All Media	Т	2	T+2	Destroy
	Personal Information					T = File clo	sed upon completion of case
	Protection – Access						
	Requests						
	e	1 1 0				• 1	s. Documents may include formal and
	-		-				nates, third party notices, written appeal
		ation and Privacy Commiss	ioner of Ontario	(IPC), I	IPC orde	ers and decision	ns, and IPC compliance findings and
	recommendations.						

G5001 Corporate Governance All All Media C+2 4 C+6 Archives

Records relating to the planning and management of corporate governance goals and initiatives. Corporate governance provides the framework for the principles and issues by which the City is directed and controlled. Corporate governance seeks to ensure the City's financial viability, consideration of the interests of all relevant parties affected by the City's activities, and the rights and responsibilities of the City's different stakeholders. Documents may include questionnaires, copies of public opinion surveys, corporate governance reports, consultation documentation, and supporting correspondence.

Functional Category: Description:

- I Information, Communications, and Administration Records relating to the management of all City formal communications, including press releases, media releases, promotional advertising, and speeches. Also includes records to the production and/or management of informationrelated resources and initiatives, both by the City and by external parties, including libraries, films and movies, online web site data, corporate records management, and archival collections. Finally, includes records relating to a wide variety of general administrative matters, such as committees not related to City governance activities, office administration, audits, travel arrangements, trade shows, and operational and strategic planning.
- I0001
 Souvenirs and Presentations
 All
 All Media
 C+1
 5
 C+6
 Archival Review

 Items
 Records relating to souvenirs and presentation items used at City and community events receptions, awards ceremonies, presentations, parades

Records relating to souvenirs and presentation items used at City and community events, receptions, awards ceremonies, presentations, parades, and festivals. Documents may include design proposals, inventory supply records, and catalogue samples.

10005	Office Administration	All	All Media	C+1	1	C+2	Destroy
	6 6	office administration function and annual planning calendars,	0				nay include daily correspondence and
I0060	Travel Arrangements	All	All Media	C+1	1	C+2	Destroy
	local, provincial, national,		Documents ind	clude tra	avel itir	eraries, transpo	May include visits to, or visitors from, ortation and accommodation booking als visits and tours.
I0075	Vendors and Consultants	All	All Media	C+1	1	C+2	Destroy
	-	nts may include company broc	-			•	consultants, contractors, vendors, and s, external press releases, vendor price
I0161	Meetings and Committees	All	All Media	C+2	4	C+6	Archival Review
		•					ay include regular or interim meetings as of meetings, and committee reports.
I0173	Postal and Courier Services	CS	All Media	С	2	C+2	Destroy

Records relating to the City's use of hard-copy mail and courier services. Includes postal and mail functions with Canada Post, courier services, freight services, and customs information. Documents may include mailing lists, logbooks, shipment permits, mailing lists, copies of post office regulations, and reports on lost or damaged mail/courier packages.

I0186	Speeches, Presentations, Receptions and Awards Ceremonies	All	All Media	C+1	5	C+6	Archives
	Records relating to the prepa	clude employee recognitions,					s, and awards ceremonies hosted by the speech notes, formal addresses, and
I0188	Proclamations and Official Greetings	All	All Media	C+1	5	C+6	Archival Review
	Records relating to proclam						nouncements may involve ceremonies, es of pledge card legal agreements, and
I0199	Sponsorship	All	All Media	C+2	4	C+6	Archives
	promoted by the City, such a		emonies, and spe	cial ever	nts. Do	ocuments may incl	and funding for activities produced or lude research information, presentation ment of sponsorship.

I0200Promotion and MarketingAllAll MediaC+24C+6Archives

Records relating to advertising and promotional work used to foster education and awareness of City programs and services. Methods of promotion and marketing include souvenirs, brochures, manuals, user guides, directories, periodicals articles, radio, Internet web pages, advertisements, and television. Documents may include action plans, marketing statistics, and correspondence.

	Functional Category:	Description:						
L	Legal and Licensing	Records relating to legal matters involving the City. Includes City permits, leases, deeds agreement, contracts, and licenses. Also includes records relating to lawsuits and legal issues, such as copyright protection.						
L0360	swimming pools. Any group	EDCT - Parks & Recreation All Media T 4 $T+4$ Destroy T = File closed upon expiration of permit ance of permits for the use of City-owned parks and recreational facilities, including sports fields, ice rinks, and or person wishing to reserve space for a formal event, such as a wedding or a birthday party, is required to obtain a cations, booking lists, and correspondence. Description:						
R	Recreation and Culture	Records relating to the recreational and cultural programs and services offered to City residents and visitors. Includes record relating to special events such as parades and festivals, tourism, and fitness activities. Also includes information on the control of City wildlife.						
R0008	Admission Tickets	EDCT - Parks & Recreation All Media C 2 C+2 Destroy						
	• •	ating to creating and selling tickets for admission entrance to City recreational facilities and program events. Documents may include s and statistics, program announcements, sales reports, and correspondence.						
R0013	Cycling Paths and Trails	EDCT - Parks & RecreationAll MediaS5S+5Archives						
	Records relating to the recre	ational use of City-owned and operated cycling paths and trails. May include paths and trails along City roads and						

Records relating to the recreational use of City-owned and operated cycling paths and trails. May include paths and trails along City roads and through City parks. These paths and trails may be used for cycling, walking, rollerblading, and jogging. Documents include usage statistics, copies of maps indicating path or trail locations, copies of path design construction reports, and correspondence.

R0016 Fitness Club Membership EDCT - Parks & Recreation All Media C+2 2 C+4 Destroy

Records relating to fitness club programs and memberships offered within City-owned fitness clubs and facilities. Includes information on aerobics, weightlifting, and cardiovascular fitness programs. Also may include information on members' access to facility locker rooms. Documents may include fitness appraisal forms, membership attendance statistics, completed enrolment applications, fitness program descriptions, and correspondence.

R0030Recreational ProgramsEDCT - Parks & RecreationAll MediaC+24C+6Archives

Records relating to the administration and development of community recreational programs and services offered throughout the City for both children and adults. This may include organized and individual sports offerings and lessons, school field trips, and arts and crafts lessons. May also include information on trips or visits to community centres, museums, parks, and City recreational facilities; recreational course locations; and recreational course start and end dates. Documents may include copies of recreational program policies and procedures, program instructors' training materials, recreational research studies, usage and program and course-related statistics, quality assurance reports, program descriptions and brochures, statistical data summaries, high-level recommendation reports, and all supporting correspondence.

 R0031
 Recreational Program
 EDCT - Parks & Recreation
 All Media
 C+2
 4
 C+6
 Destroy

 Registration
 Records relating to individuals, including both children and adults, registering for various community recreational programs and services. This may include organized and individual sports offerings and lessons, school field trips, and arts and crafts lessons. Documents include completed enrolment applications, copies of program descriptions, enrolment usage statistics, call centre statistics, and correspondence.

 R0033
 Wildlife Control and Management
 EDCT - Parks & Recreation
 All Media
 C+2
 P
 P
 Permanent

 Management
 Records relating to the control and management of City wildlife. This involves proactively and humanely ensuring that wildlife can exist within the City but not interfere with human activities and health. May include information on public education, prevention awareness, insect spraying, and hands-on removal of identified pests. Documents may include complaints, insect spraying statistics, animal removal statistics, and correspondence.

R0034	Tourism Planning	EDCT – Tourism	All Media	C+2	4	C+6	Archives
	0 1 0	and development of tourism ac nents may include tourism plann				• •	nclude information on sporting, cultural, l correspondence.
R0193	City Events Planning and Programming	EDCT – Special Events	All Media	C+2	18	C+20	Archives
	include community-sponsore	d activities, parades, and festiva	ls. May include	informa	tion on e	vent logistics	irectly produced by the City. This may . Documents may include program briefs safety and crowd control reports, and
R0197	External Events Support	EDCT – Special Events	All Media	C+2	18	C+20	Destroy
	parades, and festivals. The C information and consultation lists and schedules, contact li	City may assist external organiz on such issues as policing, venu sts, and supporting corresponde	cations to hold entering to hold entering and the hold entering an	events in l required	Toronto. l permits	Support pro Documents	special events. May include marathons, vided by the City may include providing may include information packages, event
R0205	Media Arts	EDCT – Special Events	All Media	C+2	4	C+6	Archives

Records relating to the media arts, which includes visual, theatre, and electronic arts. Also includes records relating to City-sponsored or City partnerships in music, literacy, dance, and other cultural events. Documents may include copies of financial statements, copies of sponsorship agreements, reports, and newsclippings.