

AU7.5 Attachment 3

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AUDITOR GENERAL'S OFFICE 2021 WORK PLAN BACKLOG OF AUDIT PROJECTS

This list provides a backlog of audits that the Auditor General can only undertake with more resources or in the future. Any additional resources allocated to the Auditor General will help reduce the backlog of potential projects. Specific details and the audit scope for these projects will be more fully evaluated when the necessary resources are available

City Divisions:

Finance and Treasury Services:

1. Pension, Payroll and Employee Benefits - Time Reporting
2. City Revenue Streams
3. Revenue Services - Administrative Penalty System
4. Purchasing and Materials Management – Third-Party Contracts and Strategic Sourcing of Goods and Services
5. Purchasing and Materials Management – Major Sole Sourced Contracts

Infrastructure and Development Services:

6. Toronto Water - Asset Management
7. Toronto Water - Construction Projects
8. Toronto Water - Contract Management of Major Vendors
9. Engineering and Construction Services (ECS) - Bridge Inspection Program
10. Engineering and Construction Services and Transportation Services - Utility Cuts
11. Toronto Fire Services - Operational Review
12. Municipal Licensing & Standards – Operational Review

Community and Social Services:

13. Parks, Forestry and Recreation - Community Recreation Branch – Operational Review
14. Seniors Services and Long-Term Care - Operational Review
15. Toronto Paramedic Services - Operational Review

Corporate Services:

16. Corporate Real Estate Management - Facilities Management - Capital Projects

Agencies and Corporations: Projects related to agencies and corporations that are:

1. City's Agencies and Corporations

- 17. Toronto Community Housing Corporation (TCHC) - Capital Planning
- 18. Toronto Community Housing Corporation (TCHC) - Cyber Security
- 19. Toronto Transit Commission (TTC) - Transportation Audit
- 20. Toronto Transit Commission (TTC) - Operational Reviews
- 21. Agencies and Corporations - Board Governance
- 22. Waterfront Toronto
- 23. CreateTO – Delivery of City Building Initiative

2. Entities with Restricted Access to the Auditor General – Auditor General requires invitation by Boards

- 24. Toronto Public Library (TPL) - Operational Reviews
- 25. Toronto Public Library (TPL) - Operating Contracts - Contract Procurement and Management
- 26. Toronto Public Library (TPL) - Internal Controls Environment of Significant Financial Processes / Systems
- 27. Toronto Police Service (TPS) - Operational Audits (proposed risk-based audit plan to be presented for consideration and approval of the Toronto Police Services Board at its November 24, 2020 meeting. The Work Plan will be updated following adoption of the plan.)