King County Public Rules and Regulations

ATTACHMENT A, Revised

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| Department/Issuing Agency | | Effective Date. |
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| Approved | | |

1.0 <u>SUBJECT TITLE</u>: Regulations for Registering and Reporting by Lobbyists

- 1.1 <u>EFFECTIVE DATE</u>:
- 1.2 <u>TYPE OF ACTION</u>:
- 1.3 <u>KEY WORDS</u>:

2.0 <u>PURPOSE</u>: To implement the provisions of Ordinance 13320, as codified in KCC ______, requiring registration and reporting by persons who lobby the county council.

3.0 <u>ORGANIZATION AFFECTED</u>: Department of Executive Services.

4.0 <u>REFERENCES</u>: King County Code _____; Ordinance 13320

5.0 <u>DEFINITIONS</u>:

5.1 The following definitions, as provided in KCC _____, shall apply to these Rules:

a. "Compensation" means anything of economic value, however designated, which is paid, granted or transferred, or is to be paid, granted or transferred for, or in consideration of, personal services to any person, except that minor incidental personal expenses, such as mileage, parking, meals, photocopying, telephone, and facsimiles for persons not employed or retained as lobbyists are not included in "compensation".

b. "Council staff" means any person employed in the legislative branch of King County government.

c. "County employee" means any individual who is appointed as an employee by the appointing authority of a county department, agency, or office. The term "county employee" also includes any person elected at a general or special election to any county elected office and any person appointed to fill a vacancy in any such office. The term "county employee" also includes members of county boards, commissions, committees or other multi-member county bodies established by ordinance or motion.

d. "Department" means the department of executive services.

e. "Expenditure" includes a payment, contribution, subscription, distribution, loan, advance, deposit, gift, contract, promise or agreement to make expenditure. The term "expenditure" also includes a

promise to pay a payment or a transfer of anything of value in exchange for goods, services, property, facilities or anything of value. For the purposes of this ordinance, agreements to make expenditures, contracts and promises to pay may be reported as estimated obligations until actual payment is made.

f. "Gift," means the same as the definition of "gift" in the employee code of ethics, K.C.C. 3.04.017G.

g. "Legislation" means any ordinance or motion that is proposed to be or is introduced before the council under the provisions of Sections 230 and 240 of the county charter or any other matter that may be the subject of action by the council or any of its committees and any ordinance or motion that, having been adopted by the council, is required to be presented for approval or veto by the executive provided that the following shall not be considered legislation for the purposes of this ordinance:

1. Ordinances introduced on matters considered to be quasi-judicial under state law;

2. Motions introduced to confirm or reject appointments by the executive; and

3. Motions introduced to exercise the council's power of appointment or removal.

h. "Lobby" and "lobbying" each mean attempting to influence, by communicating with councilmembers or council staff, the Metropolitan King County Council to develop, adopt, modify or reject legislation, or attempting to influence, by communicating with the executive or executive staff, the King County executive to approve or veto adopted legislation, or part thereof, presented to him or her.

For purposes of this ordinance the terms "lobby" and "lobbying" do not include any of the following:

1. The act of communicating with the members of an association or organization by that same association or organization;

2. Communications or other actions made by a person related to a quasi-judicial proceeding before the council;

3. Communications or actions made by a person related to proposed motions to confirm or reject appointments by the executive;

4. Communications or actions made by a person related to proposed motions to exercise the council's power of appointment or removal;

5. Communications or other actions related to proposed employment actions concerning legislative branch employees;

6. Communications or other actions by any county employee acting within the scope of his or her employment with the county;

7. Communications or other actions by representatives of labor organizations related to existing or proposed collective bargaining agreement(s) with the county or other legislation which could affect specific existing or proposed collective bargaining agreements; or

8. Communications or other actions by a person with the executive or executive staff regarding legislation at any time prior to its adoption by the council.

i. "Lobbyist" means any person who lobbies for compensation.

j. "Lobbyist's employer" means the person or persons by whom a lobbyist is employed or otherwise compensated for acting as a lobbyist. For purposes of this ordinance, the term "lobbyist's employer" includes, but is not limited to:

1. Every person who engages or utilizes the services of any other person to lobby, upon an agreement express or implied, for compensation or for other consideration; and

2. The officers and employees of such person and/or any third party, who is engaged, employed or utilized by such person to lobby.

k. "Month" is a calendar month.

l. "Person" includes an individual, partnership, joint venture, public or private corporation, association, federal, state or local governmental entity or agency however constituted, candidate, committee,

political committee, political party, executive committee thereof, or any other organization or group of persons, however organized.

m. "Polling" means contacting individuals or groups to determine or change their positions using telephone interviews, face to face interviews or focus groups.

n. "Public relations" means any activity, and research to support such activity, that is intended to inform, educate, persuade or reinforce public opinion including, but not limited to, advertising, press conferences, editorial boards and speakers bureaus.

o. "Quarter" is a calendar quarter, i.e., January through March, April through June, July through September, and October through December.

p. "Representatives of labor organizations" means any employee or designated spokesperson of a bargaining representative that represents county employees.

5.2 The following terms as used in Chapter __ KCC and in these Rules shall have the following meanings:

a. As used in KCC _____ (Ord. Sec. 10A), relating to professional grass roots lobbying campaigns, the term "consecutive twelve month period," means the period that commences on the first day of any month in which a person makes an expenditure required to be reported by KCC _____ (Ord. Sec. 10B5), and ends on the last day of the eleventh month following the first month (e.g., if an expenditure occurs on May 21, 1999, the consecutive twelve month period begins May 1, 1999 and ends April 30, 2000).

b. As used in KCC _____(Ord. Sec. 2G(second G)) and these Rules, "quasi-judicial proceeding" means a proceeding of the county council involving a determination of the legal rights, duties or privileges of specific parties which is subject to the appearance of fairness doctrine under Chapter 42.36 RCW.

c. As used in KCC _____ (Ord. Sec. 3B) and these Rules, "significant modification" means any change to the Lobbyist Registration Statement filed pursuant to KCC _____ (Ord. Sec. 3A) and shown on Form L1.

d. As used in KCC ______ (Ord. Sec. 10A) and these rules, "grass roots lobbyist" means any person who has made expenditures exceeding ten thousand dollars in the aggregate within any consecutive twelve month period or who knows he or she will expend ten thousand dollars within any consecutive twelve month period presenting a program addressed to the public which is specifically intended, designed or calculated to influence legislation that may be the subject of action by the council.

6.0 <u>ADMINISTRATIVE RULES</u>:

6.1 Forms for Lobbyist Registration

Each lobbyist must file a registration report on "Form L1" for each employer as required by KCC _____ (Ord. Sec.3A). Copies of this form are available at the Department of Executive Services, Room 410, King County Courthouse, 516 3rd Avenue, Seattle, WA 98104. Any attachments shall be on 8-1/2" x 11" white paper.

6.2 Reporting an employer who is an entity

If the lobbyist's employer is an entity including, but not limited to, a business or trade association whose members include businesses, groups, associations, or organizations or which as a representative entity undertakes lobbying activities for businesses, groups, associations, or organizations, then the lobbyist shall report as the lobbyist's employer the name of the business or trade association, and the name and address of

each member of such entity, or person represented by such entity, whose fees, dues, payments or other consideration paid to such entity during either of the prior two years have exceeded five hundred dollars or who is obligated to or has agreed to pay fees, dues, payment or other consideration exceeding five hundred dollars to the entity during the current calendar year. (Ord. Sec. 3A9) The list of the names and addresses may be attached to Form L1. Any attachments shall be on 8 $\frac{1}{2}$ " x 11" white paper. If there is a change in the members of the organization who are required to be listed then the lobbyist shall file an amended Lobbyist Registration Form L1.

| | | KING COUNTY 516 3 rd Avenue, #4 Seattle, WA 98104 (206) 296-3824 | 10 I | LOBBYIST REGISTRA | | | L1 | THIS SPACE FOR OFFICE USE |
|------|---------------------------------------|---|------------------------------|---|---|---------------------|---------------------------------|---------------------------|
| 1. | LOBBYIST N | AME | | | | | | - |
| | PERMANEN | T BUSINESS ADDRESS | | | | | | _ |
| | CITY | STA | TE | | ZIP | | | _ |
| 2. | TEMPORARY | Y KING COUNTY ADDRES | SS | | | Р | PHONE ermanent: emporary: | |
| 3. | | S NAME AND ADDRESS (YOU LOBBY) | PER | SON OR GROUP | EMPLOYER'S OCCU OF PURPOSE OF C | JPATION | N, BUSINE | SS OR DESCRIPTION |
| 4. | PERSON OR | ENTITY FOR WHOM YO | U AC | T AS A LOBBYIST: | | | | |
| 5. | ACCOUNTS, | ADDRESS OF PERSON H RECEIPTS, BOOKS OR (STANTIATE LOBBYIST R | отн | ER DOCUMENTS | DESCRIPTION OF E REGULAR CONTRAC SOLE DUTY IS LOB Yes No | R EMPLC CT, RET/ | YEE AINER OR | SIMILAR AGREEMENT |
| 6. | WHAT IS YO | UR COMPENSATION FO | r lo | BBYING? | DOES EMPLOYER F EXPENSES DIRECT | | | |
| 7. | □ YES: □ YES: | IMBURSED FOR LOBBYI \$ I I AM REIMBURSED FOI I AM NOT REIMBURSE | PER R EX | PENSES. | N WHICH EXPENSES — | i. | | |
| 8. | HOW LONG | DO YOU EXPECT TO LO | 3BY | FOR THIS ORGANIZ | ATION? PERMANE | NT LOBE | BYIST | □ OTHER, EXPLAIN: |
| 9. | ITS MEMBER THE ASSOCI TWO YEARS | PLOYER A BUSINESS OF SHIP? IF "YES", ATTACH IATION FEES, DUES OR OR EXPECTS TO PAY O U YES, THE LIST IS A | H A L OTHI VER ITAC | IST SHOWING THE I ER PAYMENTS OVER \$500 THIS YEAR. CHED | NAME AND ADDRESS R \$500 DURING EITHE | OF EAC | CH MEMBE HE PAST | ER WHO HAS PAID |
| | | NTEREST, LOBBYING IS | NOS | | | | | SJECT MATTER: |
| | BJECT MATTE Agriculture | | | SUBJECT MATTER Parks & Open Space | | ECT MA1 | IER | |
| | 0 | ent & Customer Service. | | Unincorporated Area | | | | |
| | Natural Res | | | Utilities | | / & Justic | e | |
| | Budget and | Fiscal Management | | Regional Policy | | nan Serv | | |
| | Cultural Re | sources | | Regional Transit | 🗆 Hou | ising | | |
| | Economic [| Development | | Regional Water Qua | lity DTrans | sportation | n & Transit | |
| | Growth Ma | nagement | | Technology | | | | |
| TEF | RMINATION: (C | COMPLETE THIS ITEM OF | NLY I | F YOU WISH TO TEP | RMINATE YOUR REGI | STRATI | ON) | |
| Dat | e registration er | nds: | | Employer's | s Name: | | | |
| l un | derstand that or | nce I have terminated my re | | ation, I must file a new | registration prior to lobb | | | |
| | RTIFICATION: 3BYIST'S SIGN | I HEREBY CERTIFY THA | TT | | E, COMPLETE AND CO EMPLOYER'S SIGNAT | | | |
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6.2 Lobbyist Registration – Exempt Lobbyists.

A person who is exempt as a citizen lobbyist (Ord. Sec. 4), as a technical expert (Ord. Sec. 5) or as a media person (Ord. Sec. 7) from filing a lobbyist registration statement under KCC ______, but who opts to register as a lobbyist, shall be required to file the reports required by KCC ______ (Ord. Sec. 3). The employer of such registered lobbyist shall be required to file the reports provided in KCC ______ (Ord. Sec. 9).

6.3 Loss of Exempt Status

It is recognized that a person, who in good faith commences lobbying within the exemptions from the registration and reporting requirements pursuant to KCC _____ (Ord. Sec. 4, 5, 6 and 7) may become ineligible for such exemption(s) and would thus be in violation of the ordinance. Enforcement action shall not commence if the person:

- Registers as a lobbyist within seven (7) days of losing an exemption, by filing the required L1 form; and

- Files an L2 at the next quarterly due date, said L2 to include all reportable expenditures cumulatively to date for each employer.

6.4 Lobbyist Registration – Amended Registration Statement

Pursuant to KCC _____ (Ord. Sec. 3B), a person filing an LI shall file a revised L1 within seven calendar days of any change occurring in the information required by the L1.

6.5 Forms for lobbyist reports of expenditures. -Each lobbyist shall file the following three reports:

6.5.1 A report of quarterly expenditures that reports expenditures for each employer as required by KCC ______ (Ord. Sec. 8). The official form for this report is designated "Form L2.1" and is due quarterly on April 15, July 15 and October 15. Copies of this form are available at the Department of Executive Services, Room 410, King County Courthouse, 516 3rd Avenue, Seattle, WA 98104. Any attachments shall be on 8-1/2" x 11" white paper.

6.5.2 A report of quarterly expenditures that covers the total expenditures of the preceding calendar year, and the expenditures for the fourth quarter of the preceding year (October 1 to December 31) as required by KCC _____ (Ord. Sec. 8). The official form for this report is designated "Form L2.2" and is due annually on January 15. Copies of this form are available at the Department of Executive Services, Room 410, King County Courthouse, 516 3rd Avenue, Seattle, WA 98104. Any attachments shall be on 8-1/2" x 11" white paper.

6.5.3 If the lobbyist's employer is an entity (Ord. Sec. 3A9) then the lobbyist shall list the name of the entity as the employer on the quarterly expenditure forms (Form L2.1 and L2.2).

| 1 | | KING COUNTY 516 3 rd Avenue, #410 Seattle, WA 98104 (206) 296-3824 | LOBBYIST G EXPENSE Expenses Po | EREPORT | L2.1 | KING COUNTY OFFICE ONLY |
|------|------------------------------|--|--------------------------------------|-------------------------|---|---|
| 1. | LOBBYIST | NAME | | | | |
| | MAILING A | DDRESS | | | | |
| | CITY | S | TATE | ZIP | | New Address? |
| 2. | | s for the period | This report corr | ects the report for | BUSINESS TE () | LEPHONE |
| | Month/Year | to Month/Year | Month/Year | _ to Month/Year | - | |
| 3. | | OBBYIST'S EMPLOYER | wonth/ real | Monuly real | | |
| | MAILING A | DDRESS | | | | |
| | CITY | S | TATE | ZIP | | New Address? □ No □ Yes |
| | Include all | reportable expenditures by | lobbvist and lobbvis | t's emplover for or on | behalf of the lobbvist incur | red during the reporting period. |
| | | SE CATEGORY | | T THIS QUARTER | Lobbyist Own Expens | |
| lobb | ovist and lobby | ble expenditures by vist's employer for or on yist incurred during the | All employer pl | us own expenses | Not reimbursed or attribu an employer. | ted to Reimbursed or attributed to an employer. |
| | orting period. | | (Colum | ns A + B) | Column A | Column B |
| 4. | employer for (salary, wag | | | | | |
| 5. | | NMENT, GRATUITIES, employees, their families | | | | |
| 6. | OTHER EX SERVICES | PENSES AND (See #9) | | | | |
| 7. | - | PENSES INCURRED TER (Add Lines 4-6) | | | | |
| 8 | Subject matte | er(s) of proposed legislation | n or other legislative | activity the lobbyist w | as supporting or opposing. | |

Subject Matter(s), Issue(s), Motion or Ord. Number(s) Legislative Committee(s) or Matter(s)

Continued on attached pages?
No Ves
I certify that this report is true and complete to the best of my LOBBYIST'S SIGNATURE DATE knowledge.

CONTINUE ON REVERSE SIDE

L2 – Page 2

- 9. Itemize all of the following expenditures that were incurred by lobbyist or lobbyist employer for lobbyist activities. Show the actual amount incurred for each individual or the amount fairly attributed to each.
 - Entertainment expenditures: Expenses (including lobbyist's own) for meals, beverages, tickets, passes, connected travel, and/or other forms of entertainment.
 - <u>Other Expenses and Services</u>: Payments by lobbyist for other lobbying expenses and services, including for advertising, printing, informational material, political advertising, public relations, telemarketing, polling, payments to subcontract lobbyists, expert witnesses and others retained to provide lobbying services or assistance in lobbying and payments for grass roots lobbying campaigns or similar activities.

| Date | Name of Person(s) Entertained or Payment Recipient(s) Name and Address | Description, Place, Purpose, etc. | Amount |
|------|---|-----------------------------------|--------|
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Continued on attached pages? □ No □ Yes

| KING COUNTY | LOBBYIST J | - | KING COUN | TY OFFICE ONLY |
|---|------------------------------|-------------------------|-----------------------------|----------------------------|
| 516 3 rd Avenue, #4 Seattle, WA 98104 (206) 296-3824 | 10 I | | L2.2 | |
| 2. LOBBYIST NAME | | | | |
| MAILING ADDRESS | | | | |
| CITY | STATE | ZIP | | New Address? □ No □ Yes |
| 8. This report is for | This report c | orrects the report for | r BUSINESS () | TELEPHONE |
| January | Janu | | | |
| 9. NAME OF LOBBYIST EMPLOYE | R | Year | | |
| S. NAME OF LODD FOT LIMPLOTE | | | | |
| MAILING ADDRESS | | | | |
| | | | | |
| CITY | STATE | ZIP | | New Address? □ No □ Yes |
| EXPENSE CATEGORY | TOTAL AMOUNT | THIS QUARTER | Lobbyist's Own Expens | es Employer Reimbursed |
| | | | | Expenses |
| Include all reportable expenditures by | | s own expenses | Amounts not reimbursed | - |
| lobbyist and lobbyist's employer for or or behalf of the lobbyist incurred during the | | | attributed to an employe | er. |
| reporting period. | (Column | s A + B) | Column A | Column B |
| 10. COMPENSATION earned from | (0012 | <i></i> | | |
| employer for lobbying this period | | | | |
| (salary, wage, retainer) | | | | |
| 11. ENTERTAINMENT, GRATUITIE | S, | | | |
| for officials, employees, their families (See #9) | | | | |
| 12. OTHER EXPENSES AND | | | | |
| SERVICES (See #9) | | | | |
| 13. TOTAL EXPENSES INCURRED | | | | |
| THIS QUARTER (Lines 4-6) | | | | |
| 8. Subject matter(s) of proposed legis | slation or other legislative | activity the lobbyist v | vas supporting or opposing. | 11 |

Subject Matter(s), Issue(s), Motion or Ord. Number(s)

Legislative Committee(s) or Matter(s)

Continued on attached pages? □ No □ Yes

CONTINUE ON REVERSE SIDE

L2-A – Page 2

- 9. Itemize all of the following expenditures that were incurred by lobbyist or lobbyist employer for lobbyist activities. Show the actual amount incurred for each individual or the amount fairly attributed to each.
 - <u>Entertainment expenditures</u>: Expenses (including lobbyist's own) for meals, beverages, tickets, passes, connected travel, and/or other forms of entertainment.
 - <u>Other Expenses and Services</u>: Payments by lobbyist for other lobbying expenses and services, including for advertising, printing, informational material, political advertising, public relations, telemarketing, polling, payments to subcontract lobbyists, expert witnesses and others retained to provide lobbying services or assistance in lobbying and payments for grass roots lobbying campaigns or similar activities.

| Name of Person(s) Entertained or Payment Recipient(s) Name and Address | Description, Place, Purpose, etc. | Amount |
|---|---|---|
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| | | |
| | | |
| | | |
| | Name of Person(s) Entertained or Payment Recipient(s) Name and Address | Name of Person(s) Entertained or Payment Recipient(s) Name and Address Description, Place, Purpose, etc. |

Continued on attached pages? □ No □ Yes

| ANNUAL EXPENDITURE REPORT | |
|---|--|
| EXPENSE CATEGORY | SUMMARY – TOTAL AMOUNT THIS YEAR |
| Include all reportable expenditures by lobbyist and lobbyist's employer(s) for or on behalf of the lobbyist incurred during the reporting period. | All own expenses plus those reimbursed or attributed to employer(s). |
| 10. COMPENSATION earned from employer for lobbying this period (salary, wage, retainer) | |
| 11. ENTERTAINMENT, GRATUITIES for officials, employees, their families | |
| 12. OTHER EXPENSES AND SERVICES | |
| 13. TOTAL EXPENSES INCURRED THIS YEAR (Lines 10-12) | |
| EMPLOYER SIGNATURE I certify that this report is true and complete to The best of my knowledge. Name | Date |
| Signature | |
| LOBBYIST SIGNATURE I certify that this report is true and complete to the best of my knowledge | e. DATE |

6.6 Lobbyist Registration – Termination.

A lobbyist who terminates his or her employment with a lobbyist's employer shall advise the County by filing an amended Lobbyist Registration form ("Form L1"). For each employer, submit a separate termination form. Copies of these forms are available at the Department of Executive Services, Room 410, King County Courthouse, 516 3rd Avenue, Seattle, WA 98104. A lobbyist who terminates such registration shall file any reports required under the lobbyist reporting provisions for the period during which he/she was registered as a lobbyist. The employer of any such lobbyist shall not be relieved of any duty to file the report otherwise required by KCC ______ (Ord. Sec. 9).

6.7 Forms for lobbyist employer's report.

Employers of registered lobbyists must report total annual expenditures for lobbying as required by KCC ______ (Ord. Sec.9A2). The records and documents substantiating financial reports made pursuant to Ord. Sec. 9A must be retained for at least five (5) years from the date of filing of the statement containing such items (Ord. Sec. 9B).

Lobbyists Employers have two options to comply with the requirement for reporting:

6.7.1 OPTION 1:

Lobbyists employers may sign a verification on the Lobbyist Report "Form L2.2" that is due on January 15th (Ord. Sec. 9A1); or

6.7.2 OPTION 2:

Lobbyist Employers may file the lobbyist employer's report of registered lobbyists and expenses. (Ord. Sec. 9A2) The official form for this report is "Form L3" that is due on the last day of February for the preceding year. Copies of the "Form L3" are available at the Department of Executive Services, Room 410, King County Courthouse, 516 3^{rd} Avenue, Seattle, WA 98104. Any attachments shall be on 8-1/2" x 11" white paper.

| | ision ctive Date: | | | Pa | ge 12 of |
|-------------------|---|---|---|-----------------|---|
| | Seattle, WA 98104 | REPORT OF | MPLOYER'S REGISTERED & EXPENSES | L3 | KING COUNTY OFFICE ONLY |
| | · · · | | | | |
| | ATTENTION (Identify person to whom inquii .obbyist.) | ies about the information | below should be directed; NOT | the | |
| ľ | MAILING ADDRESS | | | | |
| (| CITY STA | TE | ZIP | | │ lew Address? 〕No □ Yes |
| THIS calend | REPORT MUST BE FILED BY THE LA dar year incurred by all lobbying. Comple | ST DAY OF FEBRUAI te all sections. Use "no | RY , including expenditures management one" or "0" when applicable. | ade and accrued | d during the previous |
| 6 | FOTAL EXPENDITURES: Amount of tot: obbying purposes, whether through or on expenditures listed in #3). NAMES & EXPENDITURES PER EACH | behalf of a registered l | obbyist or otherwise (includin | g all | der Ordinance 13320, |
| e F | employed by the reporting person and the purposes. | total expenditures mac | le by lobbyist and attributed o | r reimbursed by | employer for lobbying |
| | e of Registered Lobbyists (if payments to lobby firm, list firm name) | Address of H | Registered Lobbyist | | tal Expenditures by or r each Lobbyist |
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| | | | | | |
| Contir | nued on attached pages? No Y | es | Total Fro Attached Pag | | |
| l certif knowl | y that this report is true and complete to t edge. | he best of my | EMPLOYER SIGNATUR | RE | DATE |

6.8 Forms for registration and reporting by sponsors of professional grass roots lobbying campaigns.

The official form for registration and reporting by sponsors of professional grass roots lobbying campaigns, as required by KCC ______ (Ord. Sec. 10B) is designated "Form L4". Each sponsor who has registered under this section shall also file quarterly reports on the "Form L4" (Ord. Sec. 10C). The quarterly reports are due on January 15, April 15th, July 15th and October 15th. Copies of this form are available at the Department of Executive Services, Room 410, King County Courthouse, 516 3rd Avenue, Seattle, WA 98104. Any attachments shall be on 8-1/2" x 11" white paper. As set forth in KCC _____ (Ord. Sec. 10D), the records and documents substantiating financial reports made pursuant to the ordinance, must be retained for at least five (5) years from the date of filing of the report containing such items.

6.9 Organization as both lobbyist and lobbyist's employer

An entity, including but not limited to a law firm, consulting firm, advertising agency, or other similar organization, that engages in lobbying through its employees or contractors it has retained, must register and report as both a lobbyist and as the lobbyist employer of the individual who actually engages in lobbying. Registration statements (Form L1) and reports (Form L2.1, and Form L2.2) shall list as the lobbyist both the firm or organization and each individual acting on its behalf. The person paying the compensation shall report as a lobbyist's employer (Form L3). Provided, however, a nonprofit entity which collects membership dues or contributions for the purpose of promoting a general interest and not in return for lobbying on behalf of any specific member or contributor shall not be regarded as a lobbyist or lobbyist employer.

(E.g., a trade association promoting trade internationally, whose members pay dues, would not be a lobbyist, nor would the members be lobbyist employers, unless the trade association lobbies on behalf of a specific member or contributor.)

7.0 <u>PROCEDURES</u>:

Action By: Action:

7.1

8.0 <u>RESPONSIBILITIES</u>:

8.1

9.0 <u>APPENDICES</u>:

9.1

| | ivision fective D | Pate: | | | | | Page 14 of |
|------------|----------------------|---|---|----------------|-------------|-----------|-------------------------|
| (| | KING COUNTY 516 3 rd Avenue, #410 Seattle, WA 98104 (206) 296-3824 | PROFESS GRASS RO LOBBYING REPORT | DOTS | GN | L4 | KING COUNTY OFFICE ONLY |
| 1. | SPONSO | R'S NAME | | | | | |
| | BUSINES | S OR OCCUPATION | | | | | |
| | MAILING | ADDRESS | | | | | |
| | CITY | STAT | E Z | ^{ZIP} | PHONE | | - |
| 2. | | ID ADDRESS OF PERSON HAV OCUMENTS WHICH SUBSTAI | | | | OOKS OR | THIS REPORT COVERS: |
| 3. | | E THE TOPIC(S) OR LEGISLA ⁻ TED. INCLUDE ORDINANCES | | CH THE CAMP/ | AIGN IS | | (CAMPAIGN IS ENDED |
| 4. | | PONSOR IS A BUSINESS, UNIC S OR OTHERS WHO ARE RES NAME | PONSIBLE FOR T | | | NTITY. | R ENTITY, LIST THE |
| 5. (cor | INCLUDIN | DRGANIZING OR MANAGING T IG PUBLIC RELATIONS AND A ached pages) | | | OR FIRMS HI | RED TO AS | SIST IN THE CAMPAIGN, |
| | | NAME AND ADDRESS | 0 | CCUPATION O | R BUSINESS | TE | ERMS OF COMPENSATION |
| | | | | | | | |
| 6. | EVDENS | ES MADE OR INCURRED IN TH | | | | | |
| 0. | 1. 2. | Previous expenditures (from line Expenses this report period | | | | | |
| | | A. Radio B. Television | | | | | |
| | | C. Newspapers, magazin | es | | | | |
| | | D. Brochures, signs | | _ | | | |
| | | E. Printing and mailing | | | | | |
| | | F. Telemarketing, polling | | | | | |
| | | G. Consultants | | _ | | | |
| | | H. Public relations | | _ | | | |
| | | I. Office expense, travel | | | | | |
| | | J. Entertainment (includi beverages) K. Other expenses | ng food and | _ | | _ | |
| | 2. | Total expenditures this period (lir | nes 6.2A –6. 2K) | | | | \$ |
| | 3. | Total expenditures in the campai | gn (lines 6.1+6.3) | | | | \$ |

CONTINUE ON REVERSE

L4 – Page 2 7. CONTRIBUTIONS:

LIST EACH PERSON OR ORGANIZATION WHO HAS CONTRIBUTED \$100 OR MORE DURING THIS CAMPAIGN

| NAME | ADDRESS, CITY, ZIP | AMOUNT |
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| TOTAL AMOUNT THIS PAGE | \$ |
|---|----|
| LIST TOTAL AMOUNT FROM ANY ATTACHED | \$ |
| TOTAL CONTRIBUTIONS THIS PERIOD | \$ |
| CONTRIBUTIONS PREVIOUSLY REPORTED | \$ |
| TOTAL CONTRIBUTIONS DURING THE CAMPAIGN | \$ |
| | |

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|---|-----------|------|
| Certification: I certify that this report is true and complete to the best of my knowledge. Print Name and Address of Sponsor | SIGNATURE | DATE |