Presentation to the Audit Committee
October 20, 2011

AUDITOR GENERAL'S OFFICE

REVIEW OF THE INTEGRATED RECORDS AND INFORMATION SYSTEM (IRIS)

Jeff Griffiths, CA, CFE – Auditor General

Alan Ash, CIA, CFE – Director

Ben Smid, CGA, CISA – Senior Audit Manager

Presentation Outline

- Background

- Audit Objective

- Audit Methodology

- Five Key Issues Identified

- Conclusion

2

BACKGROUND

- Toronto Police Services Board requested the Auditor General and City Chief Information Officer review of IRIS in April 2011

- IRIS Project approved for inclusion in TPS Capital Program in 2008 to replace eCOPS

- Auditor General issued report on eCOPS in 2005

TORONTO

Background

- 2005 Auditor General eCOPS report identified a number of deficiencies

- Auditor General and City Chief Information Officer review was requested to ensure issues identified during the eCops review are not repeated.

- City Chief Information Officer issued a separate report

4

Objective of Auditor General Review

The objective of our review of the IRIS project was to determine whether or not the recommendations contained in the Auditor General's eCops audit report have been considered and, where appropriate, implemented.

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Methodology

- Review of Toronto Police Service Board minutes

- Review of TPS IRIS Steering Committee Reports

- Review of previous Auditor General reports

- Review of policies, procedures and practices

- Interviews with relevant Police Service staff and City staff

- Examination of relevant documents

- Review of related generally accepted industry practices

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Five Key Issues Identified

- Use of Fairness Consultant in Procurement Process

- Improve Coordination with the City

- Develop Privacy Impact Assessment

- Define Costs and Benefits

- Articulate Criteria and Methodology For Measuring Benefits

7
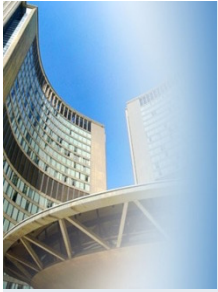
Conclusion

- Report provides 7 recommendations

- Management agrees with report recommendations and has identified action plans and a timetable for implementation

- Follow up report on audit recommendations in July 2012

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