

Budget Overview

Budget Committee
(December 2, 5 and 6, 2011)

Page

PART I: CAPITAL PROGRAM

Executive Summary.....	1
10-Year Capital Plan Overview.....	
Office of the Lobbyist Registrar	3
Office of the Ombudsman	3
10-Year Capital Plan (2012 Budget, 2013 - 2021 Plan)	
Office of the Lobbyist Registrar	4 and 6
Office of the Ombudsman	5 and 7
10-Year Capital Plan Details.....	
Office of the Lobbyist Registrar	8
Office of the Ombudsman	9
10-Year Capital Plan – Incremental Operating Impact Summary	
Office of the Lobbyist Registrar	10
Office of the Ombudsman	10

PART II: ISSUES FOR DISCUSSION

10-Year Capital Plan (2012 - 2021) Issues.....	11
--	----

APPENDIX

Appendix 1: 2011 Capital Variance Review	
Office of the Lobbyist Registrar	12
Office of the Ombudsman	12
Appendix 2: 2012 Capital Budget, 2013 to 2021 Capital Plan, Project Cost and Cashflows..	
Office of the Lobbyist Registrar	13
Office of the Ombudsman	14

**Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)**

2012 – 2021 Capital Plan

Contacts: Jeff Griffiths
Auditor General
Tel: (416) 392-8461

Janet Leiper
Integrity Commissioner
Tel: (416) 397-7770

Linda Gehrke
Lobbyist Registrar
Tel: (416) 338-5858

Fiona Crean
Ombudsman
Tel: (416) 392-7061

PART I: CAPITAL PROGRAM

Executive Summary

- The Accountability Officers for the City of Toronto are:
 - The Office of the Auditor General
 - The Office of the Integrity Commissioner
 - The Office of the Lobbyist Registrar and
 - The Office of the Ombudsman
- The Accountability Officers are independent from the City's Administration and are officers of City Council.
- The Accountability Officers' 2012 – 2021 Capital Budget & Plan reflects the capital budget requirements for Office of the Lobbyist Registrar and Office of the Ombudsman. There are no capital budget requirements for the Office of the Integrity Commissioner or the Office of the Auditor General.
- The Accountability Officers' 2012 – 2021 Capital Budget & Plan has been consolidated into one Budget for purposes of inclusion in the corporate Capital Budget Summary for the City of Toronto. Each Accountability Officer is accountable for its own budget separate from one another and pursuant to their legal mandates.
- This Note presents the requests of the two (2) Accountability Officers' 2012 – 2021 Capital Budget & Plan and acts as a reference document to accompany the 2012 – 2021 Capital Budget & Plan reports that are being submitted by the Accountability Officers directly to Budget Committee.
- The Accountability Officers' 10-Year Capital Plan provides for the tools, systems and resources required to support strategic priorities and the delivery of core services to build public trust and confidence in city government. The funding requests incorporate the Accountability Officers' State of Good Repair (SOGR) capital requirements.
- The Accountability Officers' capital budget requirements prior to 2011 were part of City Clerk's Office's approved capital budget.
- The 10-Year Capital Plan totals \$3.200 million:
 - **Office of the Lobbyist Registrar** requires debt funding of \$2.000 million over the 10-year period for State of Good Repair of its on-line Lobbyist Registry System.
 - **Office of the Ombudsman** requires debt funding of \$1.200 million over the 10-year period to maintain State of Good Repair of its Case Management System.
- The 10-Year Capital Plan of \$3.200 million is fully allocated for State of Repair Projects:

Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)

2012 – 2021 Capital Plan

- *Office of the Lobbyist Registrar* will allocate 100% or \$2.000 million for State of Good Repair Project.
- *Office of the Ombudsman* will allocate 100% or \$1.200 million for State of Good Repair Project.
- There will be no operating impact arising from the 10-Year Capital Plan.
- The Accountability Officers do not have SOGR backlog requirements.

10-Year Capital Plan Overview

Office of the Lobbyist Registrar

- The main objective of the 10-Year Capital Plan is to provide the funding for the systems and the tools to support Office of the Lobbyist Registrar's mandate.
- The 10-Year Capital Plan totals \$2.000 million, is fully funded by debt and is within the debt target.
- The 10-Year Capital Plan of \$2.000 million is 100% allocated to State of Good Repair Project.
- There is no SOGR backlog.
- The 10-Year Capital Plan will not increase future year Operating Budgets.

Office of the Ombudsman

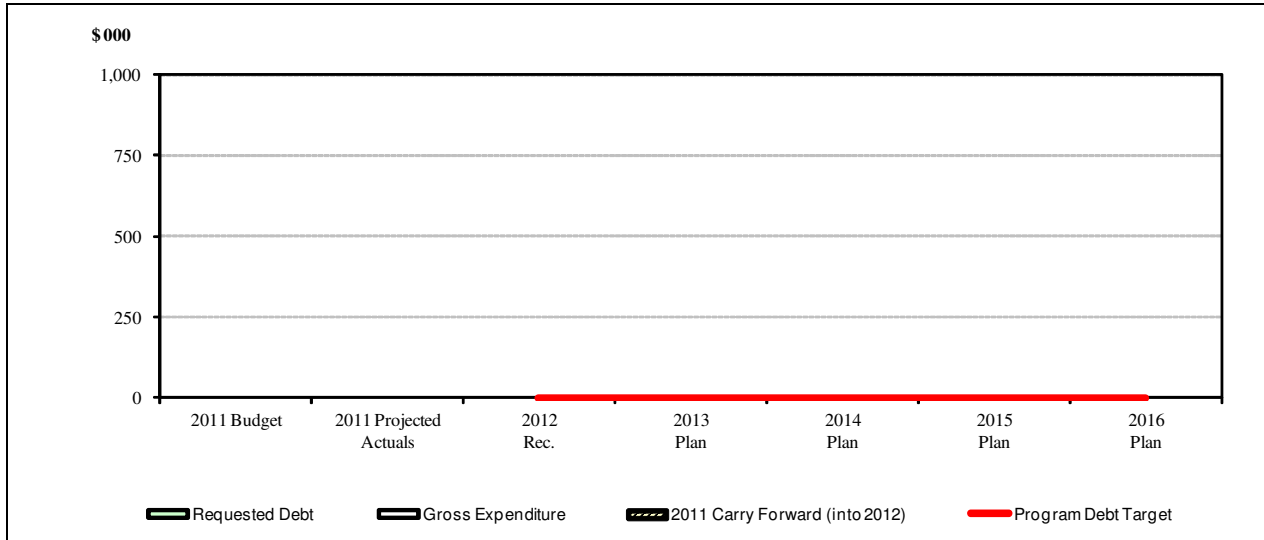
- The main objective of the 10-Year Capital Plan is to provide the funding for the systems and the tools to support the Office of the Ombudsman's mandate.
- The 10-Year Capital Plan totals \$1.200 million, is to upgrade the Case Management System in order to maintain its State of Good Repair condition.
- The 10-Year Capital Plan is fully funded by debt and within the debt target.
- The 10-Year Capital Plan is 100% allocated for State of Good Repair Project.
- There is no SOGR backlog.
- The 10-Year Capital Plan will have no impact on future year Operating Budgets.

Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)

2012 – 2021 Capital Plan

10-Year Capital Plan
2012 Budget, 2013 - 2016 Plan

Office of the Lobbyist Registrar

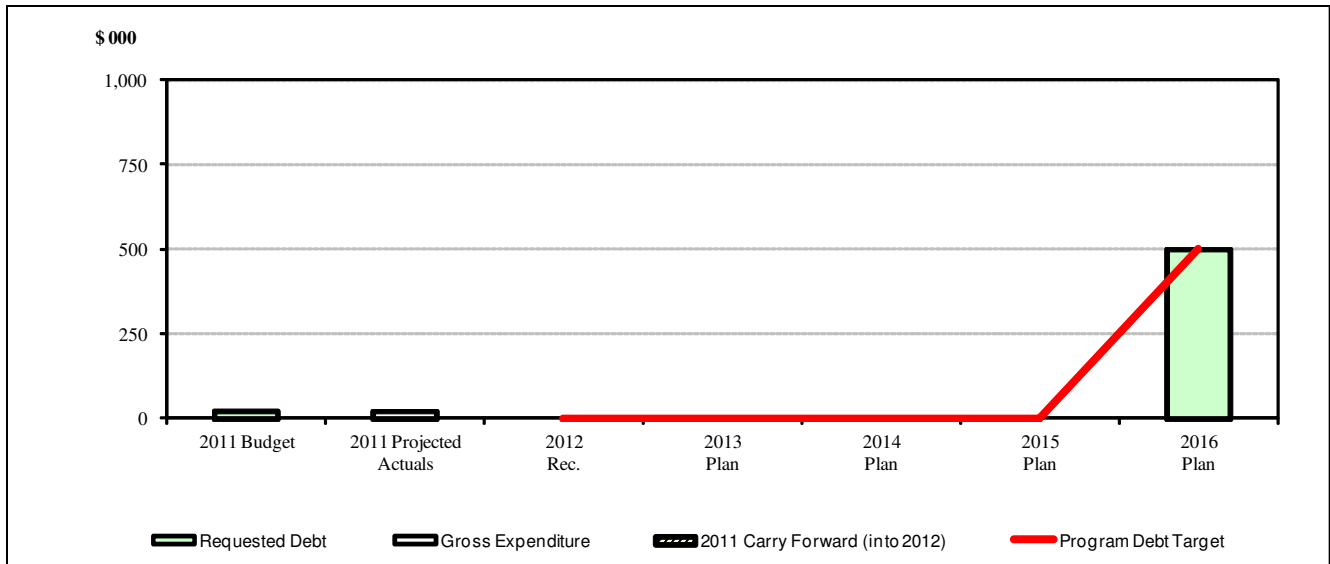


	2012 Requested Budget and 2013-2016 Plan								5-Year Total Percent
	2011		2012	2013	2014	2015	2016	2012-2016	
	Budget	Projected Actual							
Gross Expenditures:									
2011 Capital Budget & Approved FY Commitments	0	0						0	0%
Recommended Changes to Approved FY Commitments								0	0%
2012 New/Change in Scope and Future Year Commitments								0	0%
2013- 2016 Capital Plan Estimates								0	0%
1-Year Carry Forward to 2012									
Total Gross Annual Expenditures & Plan	0	0	0	0	0	0	0	0	0%
Program Debt Target	0		0	0	0	0	0	0	
Financing:									
Requested Debt	0							0	0%
Reserves/Reserve Funds								0	0%
Development Charges								0	0%
ISF								0	0%
Provincial/Federal								0	0%
Debt Recoverable								0	0%
Other Revenue								0	0%
Total Financing	0		0	0	0	0	0	0	0%
By Category:									
Health & Safety								0	0%
Legislated								0	0%
SOGR	0							0	0%
Service Improvement								0	0%
Growth Related								0	0%
Total By Category	0		0	0	0	0	0	0	0%
Yearly SOGR Backlog Estimate (not addressed by current plan)								0	
Accumulated Backlog Estimate (end of year)								0	
Operating Impact on Program Costs									
New Positions									

Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)

2012 – 2021 Capital Plan

Office of the Ombudsman



	2012 Requested Budget and 2013-2016 Plan								5-Year Total Percent
	2011		2012	2013	2014	2015	2016	2012-2016	
	Budget	Projected Actual							
Gross Expenditures:									
2011 Capital Budget & Approved FY Commitments *	20	20						0	0%
Recommended Changes to Approved FY Commitments								0	0%
2012 New/Change in Scope and Future Year Commitments								0	0%
2013- 2016 Capital Plan Estimates							500	500	100%
1-Year Carry Forward to 2012		0							
Total Gross Annual Expenditures & Plan	20	20	0	0	0	0	500	500	100%
Program Debt Target	20		0	0	0	0	500	500	
Financing:									
Requested Debt	20						500	500	100%
Reserves/Reserve Funds								0	0%
Development Charges								0	0%
ISF								0	0%
Provincial/Federal								0	0%
Debt Recoverable								0	0%
Other Revenue								0	0%
Total Financing	20		0	0	0	0	500	500	100%
By Category:									
Health & Safety								0	0%
Legislated								0	0%
SOGR	20						500	500	100%
Service Improvement								0	0%
Growth Related								0	0%
Total By Category	20		0	0	0	0	500	500	100%
Yearly SOGR Backlog Estimate (not addressed by current plan)								0	
Accumulated Backlog Estimate (end of year)								0	
Operating Impact on Program Costs								0	
New Positions									

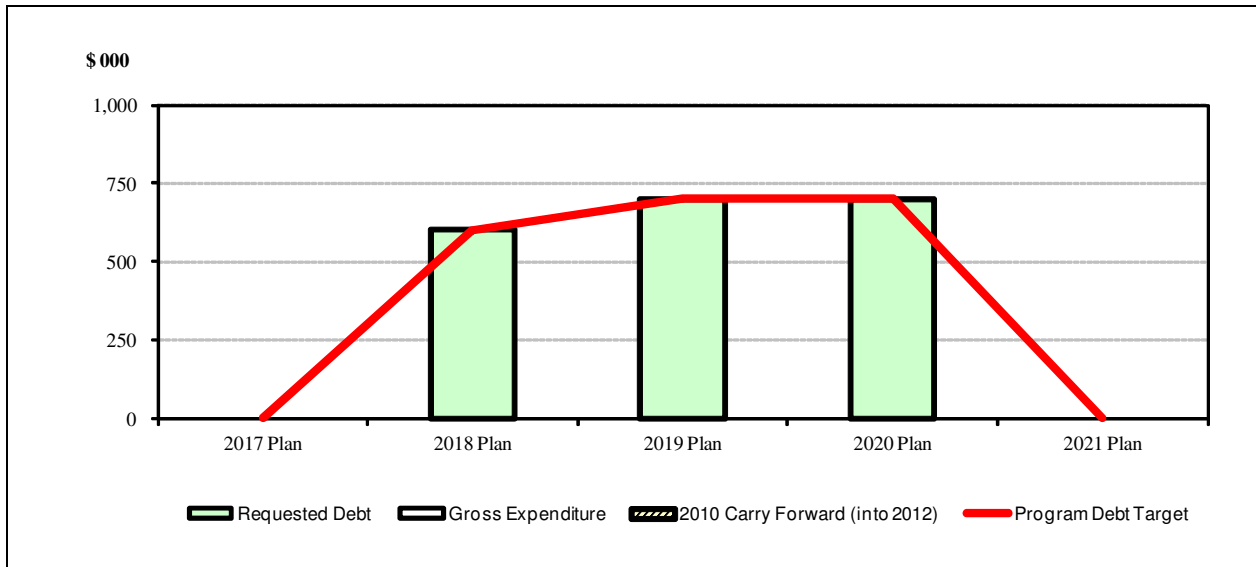
* in City Clerk's Office Capital Budget

**Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)**

2012 – 2021 Capital Plan

**10-Year Capital Plan
2017 - 2021 Plan**

Office of the Lobbyist Registrar

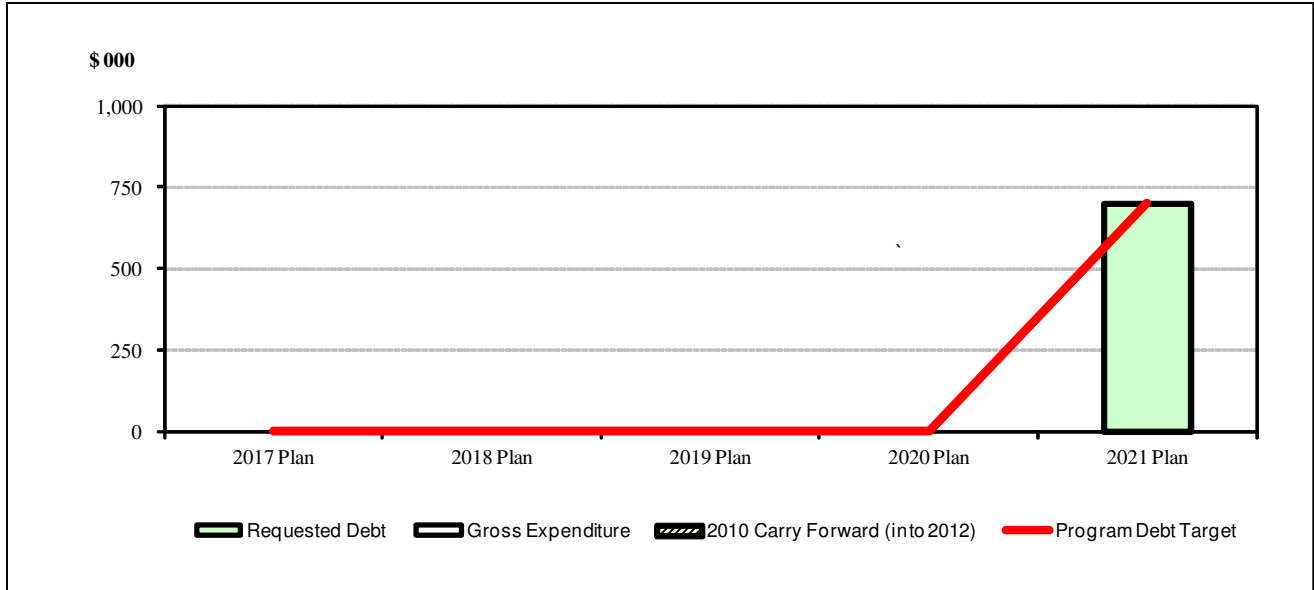


	2017 - 2021 Capital Plan						10-Year Total Percent
	2017	2018	2019	2020	2021	2012-2021	
Gross Expenditures:							
2011 Capital Budget & Approved FY Commitments						0	0%
Recommended Changes to Approved FY Commitments						0	0%
2012 New/Change in Scope and Future Year Commitments						0	0%
2017 - 2021 Capital Plan Estimates		600	700	700		2,000	100%
Total Gross Annual Expenditures & Plan	0	600	700	700	0	2,000	100%
Program Debt Target	0	600	700	700	0	2,000	
Financing:							
Requested Debt	0	600	700	700	0	2,000	100%
Reserves/Reserve Funds						0	0%
Development Charges						0	0%
ISF						0	0%
Provincial/Federal						0	0%
Recoverable Debt						0	0%
Other Revenue						0	0%
Total Financing	0	600	700	700	0	2,000	100%
By Category:							
Health & Safety						0	0%
Legislated						0	0%
SOGR	0	600	700	700	0	2,000	100%
Service Improvement						0	0%
Growth Related						0	0%
Total By Category	0	600	700	700	0	2,000	100%
Yearly SOGR Backlog Estimate (not addressed by current plan)						0	
Accumulated Backlog Estimate (end of year)						0	
Operating Impact on Program Costs						0	
New Positions							

**Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)**

2012 – 2021 Capital Plan

Office of the Ombudsman



	2017 - 2021 Capital Plan						10-Year Total Percent
	2017	2018	2019	2020	2021	2012-2021	
Gross Expenditures:							
2011 Capital Budget & Approved FY Commitments *						0	0%
Recommended Changes to Approved FY Commitments						0	0%
2012 New/Change in Scope and Future Year Commitments						0	0%
2017 - 2021 Capital Plan Estimates					700	1,200	100%
Total Gross Annual Expenditures & Plan	0	0	0	0	700	1,200	100%
Program Debt Target	0	0	0	0	700	1,200	
Financing:							
Requested Debt	0	0	0	0	700	1,200	100%
Reserves/Reserve Funds						0	0%
Development Charges						0	0%
ISF						0	0%
Provincial/Federal						0	0%
Recoverable Debt						0	0%
Other Revenue						0	0%
Total Financing	0	0	0	0	700	1,200	100%
By Category:							
Health & Safety						0	0%
Legislated						0	0%
SOGR	0	0	0	0	700	1,200	100%
Service Improvement						0	0%
Growth Related						0	0%
Total By Category	0	0	0	0	700	1,200	100%
Yearly SOGR Backlog Estimate (not addressed by current plan)						0	
Accumulated Backlog Estimate (end of year)						0	
Operating Impact on Program Costs						0	
New Positions							

**Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)**

2012 – 2021 Capital Plan

10-Year Capital Plan Details

Office of the Lobbyist Registrar

Capital Project Highlights

- Lobbyist Registry SOGR

It is anticipated by 2018, the technology that was used to create Lobbyist Registry system will be out of date and needs to be replaced or updated. This project will have a projected cost of \$2.000 million and will start in 2018 with target completion by 2020.

(In \$Thousands)	2012 Req. Budget	2013 Plan	2014 Plan	2015 Plan	2016 Plan	2017 Plan	2018 Plan	2019 Plan	2020 Plan	2021 Plan	2012 - 2021 Total
IT Projects											
Lobbyist Registry SOGR							600	700	700		2,000
Total	0	0	0	0	0	0	600	700	700	0	2,000

Project Financing

The 10-Year Capital Plan of \$2.000 million is fully funded by debt.

State of Good Repair (SOGR) Backlog

There is no State of Good Repair Backlog.

2012 Capital Budget versus Debt Target

There is no Capital Budget requirement for 2012.

2012 Capital Budget by Project Category

Not Applicable.

**Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)**

2012 – 2021 Capital Plan

Office of the Ombudsman

Capital Project Highlights

- Case Management System for Ombudsman

The existing Case Management System will need to be replaced or updated with the latest technology. This project will have a project cost of \$0.500 million and will start in 2016.

(In \$Thousands)	2012 Req. Budget	2013 Plan	2014 Plan	2015 Plan	2016 Plan	2017 Plan	2018 Plan	2019 Plan	2020 Plan	2021 Plan	2012 - 2021 Total
IT Projects											
Case Management System for Ombudsman					500						500
Case Management System SOGR										700	700
Total	0	0	0	0	500	0	0	0	0	700	1,200

- Case Management System SOGR

The Case Management System will need to be refreshed with the latest technology. This project will have a project cost of \$0.700 million and will start in 2021.

Project Financing

The 10-Year Capital Plan of \$1.200 million is fully funded by debt.

State of Good Repair (SOGR) Backlog

There is no State of Good Repair Backlog.

2012 Capital Budget versus Debt Target

There is no Capital Budget requirement for 2012.

2012 Capital Budget by Project Category

Not Applicable.

Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)

2012 – 2021 Capital Plan

10-Year Capital Plan
Incremental Operating Impact Summary

Office of the Lobbyist Registrar

The 10-Year Capital Plan will have no impacts on future year Operating Budgets.

The Office of the Lobbyist Registrar's capital projects will be developed by City Clerk's Office – Council & Support Services so temporary capital staffing positions required for this project will be reflected in the City Clerk's Office staff complement.

Office of the Ombudsman

The 10-Year Capital Plan will have no impacts on future year Operating Budgets.

The Office of the Ombudsman's capital projects will be developed by the City Clerk's Office – Council & Support Services so temporary capital staffing positions required for these projects will be reflected in the City Clerk's Office staff complement.

PART II: ISSUES FOR DISCUSSION

10-Year Capital Plan (2012-2021) Issues

Office of the Lobbyist Registrar

Not Applicable.

Office of the Ombudsman

Not Applicable.

Appendix 1
2011 Capital Variance Review

**Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)**

2012 – 2021 Capital Plan

Office of the Lobbyist Registrar

The Office of the Lobbyist Registrar does not have Approved Capital Budget in 2011.

Office of the Ombudsman

2011 Budget to Actuals Comparison - Total Gross Expenditures (\$000s)					
2011 Approved	Actuals as of September 30th (3rd Qtr Variance)		Projected Actuals at Year End		Balance
\$	\$	% Spent	\$	% Spent	\$ Unspent
20	20	100.0%	20	100.0%	0

Comments/Issues:

The Office of the Ombudsman's 2011 Approved Capital Budget of \$0.020 million is part of City Clerk's Office's 2011 Approved Capital Budget. As of September 30, 2011, the project is fully spent and recommended for closure.

Appendix 2
2012 Capital Budget
2013 to 2021 Capital Plan -
Project Cost and Cashflows

**Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)**

2012 – 2021 Capital Plan

2012 Capital Budget, 2013 to 2021 Capital Plan – Project Cost and Cash Flows (in \$000s)

Office of the Lobbyist Registrar

	2010 & Prior Year Carry Forwards	2012 Previously Approved Cash Flow Commitments	2012 New Cash Flow Req	2012 Total Cash Flow Req	2011 Carry Forwards	Total 2012 Cash Flow (Incl 2011 CFwd)	2013	2014	2015	2016	2017	2018	2019	2020	2021	Total Project Cost
Expenditures																
<i>New Projects</i>																
Lobbyist Registry SOGR				0		0						600	700	700		2,000
Total Expenditure	0	0	0	0	0	0	0	0	0	0	0	600	700	700	0	2,000
Financing																
Debt				0		0						600	700	700	0	2,000
Recoverable Debt				0		0										0
Other				0		0										0
Reserves/Res Funds				0		0										0
Development Charges				0		0										0
Provincial/Federal				0		0										0
Total Financing	0	0	0	0	0	0	0	0	0	0	0	600	700	700	0	2,000
Debt Target			0	0		0	0	0	0	0	0	600	700	700	0	2,000
Over (Under) Debt Target			0	0		0	0	0	0	0	0	0	0	0	0	0

2012 – 2021 Capital Plan

2012 Capital Budget, 2013 to 2021 Capital Plan – Project Cost and Cash Flows (in \$000s)

Office of the Ombudsman

	2010 & Prior Year Carry Forwards	2012 Previously Approved Cash Flow Commitments	2012 New Cash Flow Req	2012 Total Cash Flow Req	2011 Carry Forwards	Total 2012 Cash Flow (Incl 2011 CFwd)	2013	2014	2015	2016	2017	2018	2019	2020	2021	Total Project Cost
Expenditures																
<i>New Projects</i>																
Case Management System for Ombudsman										500						500
Case Management System SOGR				0		0									700	700
Total Expenditure	0	0	0	0	0	0	0	0	0	500	0	0	0	0	700	1,200
Financing																
Debt				0		0				500					700	1,200
Recoverable Debt				0		0										0
Other				0		0										0
Reserves/Res Funds				0		0										0
Development Charges				0		0										0
Provincial/Federal				0		0										0
Total Financing	0	0	0	0	0	0	0	0	0	500	0	0	0	0	700	1,200
Debt Target			0	0		0	0	0	0	500	0	0	0	0	700	1,200
Over (Under) Debt Target			0	0		0	0	0	0	0	0	0	0	0	0	0