

Budget Overview
Budget Committee
(December 3, 4, 5 and 6, 2012)

Page

PART I: CAPITAL PROGRAM

Executive Summary.....	1
10-Year Capital Plan Overview.....	1
Office of the Lobbyist Registrar	3
Office of the Ombudsman	3
10-Year Capital Plan (2013 Budget, 2014 - 2022 Plan)	1
Office of the Lobbyist Registrar	4 and 6
Office of the Ombudsman	5 and 7
10-Year Capital Plan Details.....	1
Office of the Lobbyist Registrar	8
Office of the Ombudsman	9
10-Year Capital Plan – Incremental Operating Impact Summary	1
Office of the Lobbyist Registrar	10
Office of the Ombudsman	10

PART II: ISSUES FOR DISCUSSION

10-Year Capital Plan (2013 - 2022) Issues.....	11
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APPENDIX

Appendix 1: 2012 Capital Variance Review	12
Office of the Lobbyist Registrar	12
Office of the Ombudsman	12
Appendix 2: 2013 Capital Budget, 2014 to 2022 Capital Plan, Project Cost and Cash flows.	13
Office of the Lobbyist Registrar	13
Office of the Ombudsman	14

**Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)**

2013 – 2022 Capital Plan

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PART I: CAPITAL PROGRAM

Executive Summary

- The Accountability Officers for the City of Toronto are:
 - The Office of the Auditor General
 - The Office of the Integrity Commissioner
 - The Office of the Lobbyist Registrar and
 - The Office of the Ombudsman
- The Accountability Officers are independent from the City's Administration and are officers of City Council.
- The Accountability Officers' 2013 – 2022 Capital Budget & Plan reflects the capital budget requirements for Office of the Lobbyist Registrar and Office of the Ombudsman. There are no capital budget requirements for the Office of the Integrity Commissioner or the Office of the Auditor General.
- The Accountability Officers' 2013 – 2022 Capital Budget & Plan has been consolidated into one Budget for purposes of inclusion in the corporate Capital Budget Summary for the City of Toronto. Each Accountability Officer is accountable for its own budget, separate from one another and pursuant to their legal mandates.
- This Note presents the requests of the two (2) Accountability Officers' 2013 – 2022 Capital Budget & Plan and acts as a reference document to accompany the 2013 – 2022 Capital Budget & Plan reports that are being submitted by the Accountability Officers directly to the Budget Committee.
- The Accountability Officers' 10-Year Capital Plan provides for the tools, systems and resources required to support strategic priorities and the delivery of core services to build public trust and confidence in city government. The funding requests incorporate the Accountability Officers' State of Good Repair (SOGR) capital requirements.
- The Accountability Officers' capital budget requirements prior to 2011 were part of City Clerk's Office's approved capital budget.
- The 10-Year Capital Plan totals \$3.555 million:
 - **Office of the Lobbyist Registrar** requires debt funding of \$2.355 million over the 10-year period for State of Good Repair of its on-line Lobbyist Registry System and to implement an alternative channel for lobbyist registration using mobile devices in 2014.

Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)

2013 – 2022 Capital Plan

- **Office of the Ombudsman** requires debt funding of \$1.200 million over the 10-year period to maintain State of Good Repair of its Case Management System.
- In terms of project categories, the 10-Year Capital Plan of \$3.555 million is allocated between Legislated and State of Repair Projects:
 - **Office of the Lobbyist Registrar** will allocate 85% or \$2.000 million for State of Good Repair Projects and 15% or \$0.355 million for Legislated Projects.
 - **Office of the Ombudsman** will allocate 100% or \$1.200 million for State of Good Repair Project.
- There will be no operating impacts arising from the 10-Year Capital Plan.
- Approval of the 2013-2022 Capital Plan will address the SOGR requirements of the Accountability Offices.

10-Year Capital Plan Overview

Office of the Lobbyist Registrar

- The main objective of the 10-Year Capital Plan is to provide the funding for the systems and the tools to support the mandate of the Office of the Lobbyist Registrar.
- The 10-Year Capital Plan totals \$2.355 million, is fully funded by debt.
- The 10-Year Capital Plan of \$2.355 million is 85% allocated to State of Good Repair Project and 15% allocated to Legislated Project.
- There is no SOGR backlog.
- The 10-Year Capital Plan will not increase future year Operating Budgets.

Office of the Ombudsman

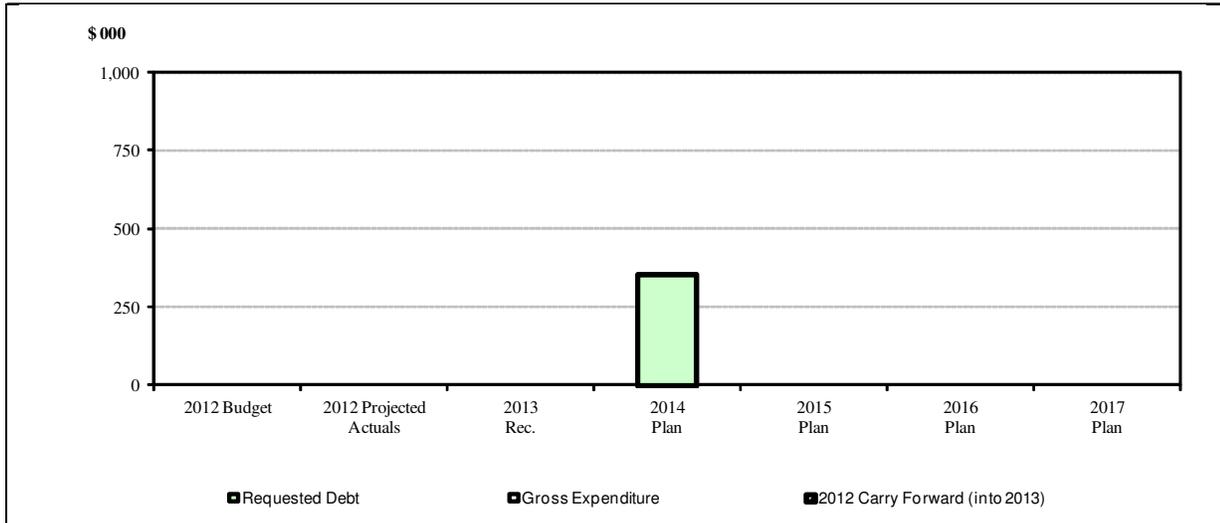
- The main objective of the 10-Year Capital Plan is to provide the funding for the systems and the tools to support the Office of the Ombudsman's mandate.
- The 10-Year Capital Plan totals \$1.200 million, is to upgrade the Case Management System in order to maintain its State of Good Repair condition.
- The 10-Year Capital Plan is fully funded by debt.
- The 10-Year Capital Plan is 100% allocated for State of Good Repair Project.
- There is no SOGR backlog.
- The 10-Year Capital Plan will have no impact on future year Operating Budgets.

Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)

2013 – 2022 Capital Plan

• **10-Year Capital Plan**
2013 Budget, 2014 - 2017 Plan

Office of the Lobbyist Registrar

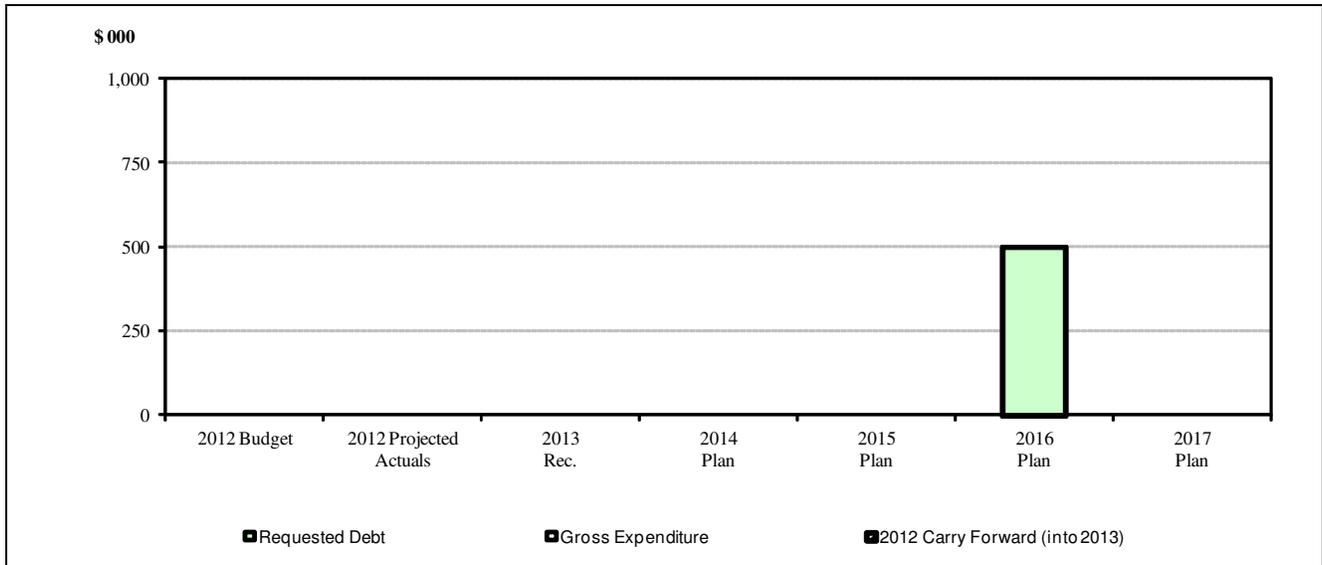


		2013 Requested Budget and 2014-2017 Plan										
		2012		2013	2014	2015	2016	2017	2013-2017	5-Year Total Percent		
		Budget	Projected Actual									
Gross Expenditures:												
2012 Capital Budget & Approved FY Commitments		0	0								0	0%
Recommended Changes to Approved FY Commitments											0	0%
2013 New/Change in Scope and Future Year Commitments											0	0%
2014- 2017 Capital Plan Estimates											355	100%
1-Year Carry Forward to 2013											0	0%
Total Gross Annual Expenditures & Plan		0	0	0	355	0	0	0	355	100%		
Financing:												
Requested Debt		0								355	100%	
Reserves/Reserve Funds											0	0%
Development Charges											0	0%
ISF											0	0%
Provincial/Federal											0	0%
Debt Recoverable											0	0%
Other Revenue											0	0%
Total Financing		0	0	355	0	0	0	0	355	100%		
By Category:												
Health & Safety											0	0%
Legislated											355	100%
SOGR		0								0	0%	
Service Improvement											0	0%
Growth Related											0	0%
Total By Category		0	0	355	0	0	0	0	355	100%		
Yearly SOGR Backlog Estimate (not addressed by current plan)											0	
Accumulated Backlog Estimate (end of year)											0	
Operating Impact on Program Costs												
New Positions												

Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)

2013 – 2022 Capital Plan

Office of the Ombudsman



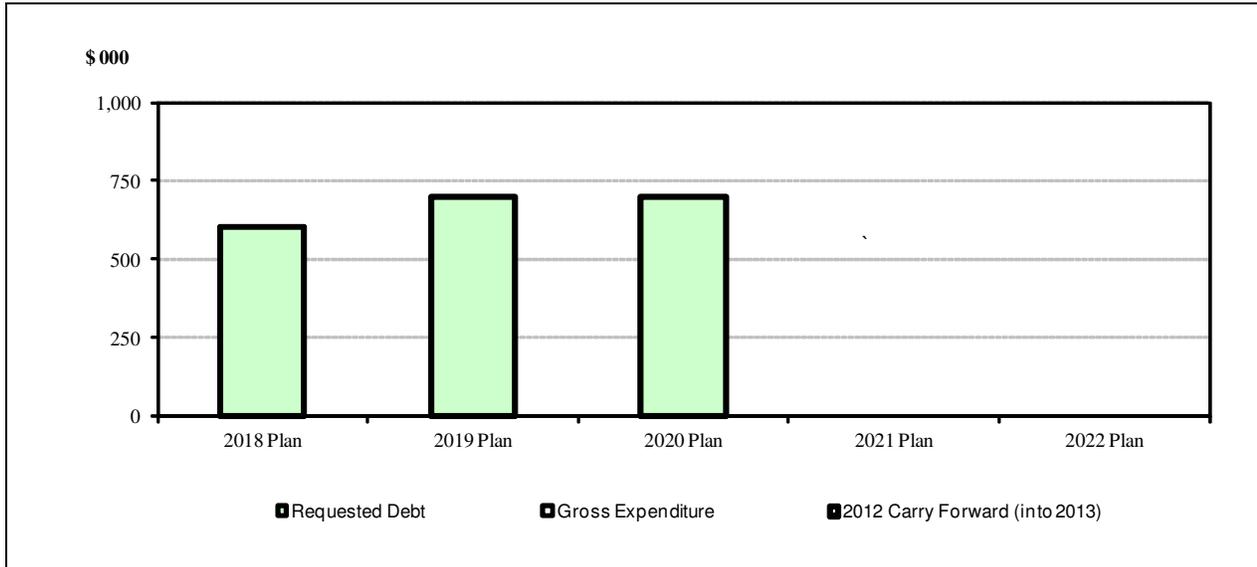
		2013 Requested Budget and 2014-2017 Plan									
		2012		2013	2014	2015	2016	2017	2013-2017	5-Year Total Percent	
		Budget	Projected Actual								
Gross Expenditures:											
2012 Capital Budget & Approved FY Commitments *								0	0	0%	
Recommended Changes to Approved FY Commitments								0	0	0%	
2013 New/Change in Scope and Future Year Commitments								0	0	0%	
2014- 2017 Capital Plan Estimates								500	0	500	100%
1-Year Carry Forward to 2013		0									
Total Gross Annual Expenditures & Plan		0	0	0	0	0	500	0	500	100%	
Financing:											
Requested Debt		0					500		500	100%	
Reserves/Reserve Funds								0	0	0%	
Development Charges								0	0	0%	
ISF								0	0	0%	
Provincial/Federal								0	0	0%	
Debt Recoverable								0	0	0%	
Other Revenue								0	0	0%	
Total Financing		0		0	0	0	500	0	500	100%	
By Category:											
Health & Safety								0	0	0%	
Legislated								0	0	0%	
SOGR		0					500		500	100%	
Service Improvement								0	0	0%	
Growth Related								0	0	0%	
Total By Category		0		0	0	0	500	0	500	100%	
Yearly SOGR Backlog Estimate (not addressed by current plan)								0			
Accumulated Backlog Estimate (end of year)								0			
Operating Impact on Program Costs								0			
New Positions											

Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)

2013 – 2022 Capital Plan

10-Year Capital Plan
2018 - 2021 Plan

Office of the Lobbyist Registrar

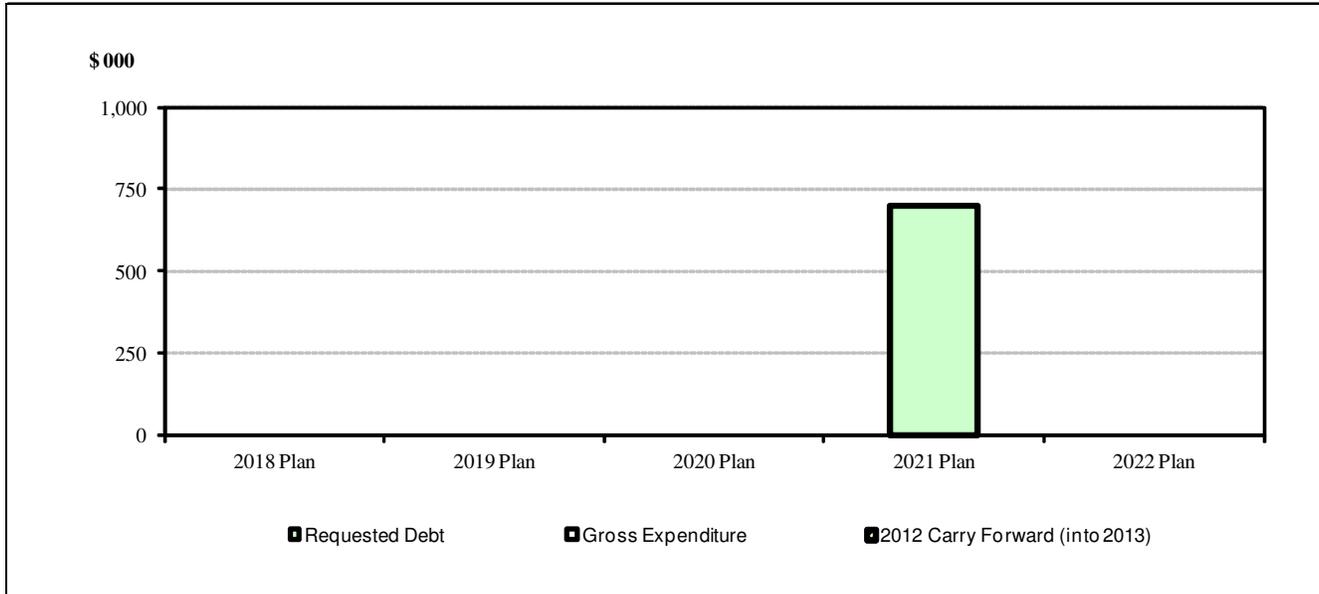


	2018 - 2022 Capital Plan							10-Year Total Percent
	2018	2019	2020	2021	2022	2013-2022		
Gross Expenditures:								
2012 Capital Budget & Approved FY Commitments						0		0%
Recommended Changes to Approved FY Commitments						0		0%
2013 New/Change in Scope and Future Year Commitments						0		0%
2018 - 2022 Capital Plan Estimates	600	700	700	0	0	2,355		100%
Total Gross Annual Expenditures & Plan	600	700	700	0	0	2,355		100%
Financing:								
Requested Debt	600	700	700	0	0	2,355		100%
Reserves/Reserve Funds						0		0%
Development Charges						0		0%
ISF						0		0%
Provincial/Federal						0		0%
Recoverable Debt						0		0%
Other Revenue						0		0%
Total Financing	600	700	700	0	0	2,355		100%
By Category:								
Health & Safety						0		0%
Legislated						355		15%
SOGR	600	700	700	0	0	2,000		85%
Service Improvement						0		0%
Growth Related						0		0%
Total By Category	600	700	700	0	0	2,355		100%
Yearly SOGR Backlog Estimate (not addressed by current plan)						0		
Accumulated Backlog Estimate (end of year)						0		
Operating Impact on Program Costs						0		
New Positions								

Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)

2013 – 2022 Capital Plan

Office of the Ombudsman



	2017 - 2021 Capital Plan						10-Year Total Percent
	2018	2019	2020	2021	2022	2013-2022	
Gross Expenditures:							
2012 Capital Budget & Approved FY Commitments *						0	0%
Recommended Changes to Approved FY Commitments						0	0%
2013 New/Change in Scope and Future Year Commitments						0	0%
2018 - 2022 Capital Plan Estimates				700		1,200	100%
Total Gross Annual Expenditures & Plan	0	0	0	700	0	1,200	100%
Financing:							
Requested Debt	0	0	0	700	0	1,200	100%
Reserves/Reserve Funds						0	0%
Development Charges						0	0%
ISF						0	0%
Provincial/Federal						0	0%
Recoverable Debt						0	0%
Other Revenue						0	0%
Total Financing	0	0	0	700	0	1,200	100%
By Category:							
Health & Safety						0	0%
Legislated						0	0%
SOGGR	0	0	0	700	0	1,200	100%
Service Improvement						0	0%
Growth Related						0	0%
Total By Category	0	0	0	700	0	1,200	100%
Yearly SOGR Backlog Estimate (not addressed by current plan)						0	
Accumulated Backlog Estimate (end of year)						0	
Operating Impact on Program Costs						0	
New Positions							

10-Year Capital Plan Details

Office of the Lobbyist Registrar

Capital Project Highlights

- Lobbyist Registrar Mobile Device Interface

Lobbyists will have an additional channel to allow lobbyists to register activities using mobile devices. This project will have a projected cost of \$0.355 million and will start in 2014.

- Lobbyist Registry SOGR

It is anticipated by 2018, the technology that was used to create Lobbyist Registry system will be out of date and needs to be replaced or updated. This project will have a projected cost of \$2.000 million and will start in 2018 with target completion by 2020.

(In \$Thousands)	2013 Req. Budget	2014 Plan	2015 Plan	2016 Plan	2017 Plan	2018 Plan	2019 Plan	2020 Plan	2021 Plan	2022 Plan	2013 - 2022 Total
IT Projects											
Lobbyist Registry Mobile Device Interface		355									355
Lobbyist Registry SOGR						600	700	700			2,000
Total	0	355	0	0	0	600	700	700	0	0	2,355

Project Financing

The 10-Year Capital Plan of \$2.355 million is fully funded by debt.

State of Good Repair (SOGR) Backlog

There is no State of Good Repair Backlog.

2013 Capital Budget versus Debt Target

There is no Capital Budget requirement for 2013.

2013 Capital Budget by Project Category

Not applicable.

**Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)**

2013 – 2022 Capital Plan

Office of the Ombudsman

Capital Project Highlights

- Case Management System for Ombudsman

The existing Case Management System will need to be replaced or updated with the latest technology. This project will have a project cost of \$0.500 million and will start in 2016.

(In \$Thousands)	2013 Req. Budget	2014 Plan	2015 Plan	2016 Plan	2017 Plan	2018 Plan	2019 Plan	2020 Plan	2021 Plan	2022 Plan	2013 - 2022 Total
IT Projects											
Case Management System for Ombudsman				500							500
Case Management System SOGR									700		700
Total	0	0	0	500	0	0	0	0	700	0	1,200

- Case Management System SOGR

The Case Management System will need to be refreshed with the latest technology. This project will have a project cost of \$0.700 million and will start in 2021.

Project Financing

The 10-Year Capital Plan of \$1.200 million is fully funded by debt.

State of Good Repair (SOGR) Backlog

There is no State of Good Repair Backlog.

2013 Capital Budget versus Debt Target

There is no Capital Budget requirement for 2013.

2013 Capital Budget by Project Category

Not applicable.

10-Year Capital Plan
Incremental Operating Impact Summary

Office of the Lobbyist Registrar

The 10-Year Capital Plan is not anticipated to have impacts on future year Operating Budgets.

The Office of the Lobbyist Registrar's capital projects will be developed by City Clerk's Office so temporary capital staffing positions required for this project will be reflected in the City Clerk's Office operating budget requests.

Office of the Ombudsman

The 10-Year Capital Plan is not anticipated to have impacts on future year Operating Budgets.

The Office of the Ombudsman's capital projects will be developed by the City Clerk's Office so temporary capital staffing positions required for these projects will be reflected in the City Clerk's Office operating budget requests.

PART II: ISSUES FOR DISCUSSION

10-Year Capital Plan (2013-2022) Issues

Office of the Lobbyist Registrar

Not applicable.

Office of the Ombudsman

Not applicable.

Appendix 1
2012 Capital Variance Review

2013 – 2022 Capital Plan **Accountability Officers
(Auditor General, Integrity Commissioner
Lobbyist Registrar and Ombudsman)**

Office of the Lobbyist Registrar

The Office of the Lobbyist Registrar has no Capital Budget in 2012.

Office of the Ombudsman

The Office of the Ombudsman has no Capital Budget in 2012.

Appendix 2
2013 Capital Budget
2014 to 2022 Capital Plan -
Project Cost and Cash flows

2013 – 2022 Capital Plan

2013 Capital Budget, 2014 to 2022 Capital Plan – Project Cost and Cash Flows (in \$000s)

Office of the Lobbyist Registrar

	2011 & Prior Year Carry Forwards	2013 Previously Approved Cash Flow Commitments	2013 New Cash Flow Req	2013 Total Cash Flow Req	2012 Carry Forwards	Total 2013 Cash Flow (Incl 2012 CFwd)	2014	2015	2016	2017	2018	2019	2020	2021	2022	Total Project Cost
Expenditures																
<i>New Projects</i>																
Lobbyist Registry Mobile Device Interface							355									355
Lobbyist Registry SOGR				0		0					600	700	700			2,000
Total Expenditure	0	0	0	0	0	0	355	0	0	0	600	700	700	0	0	2,355
Financing																
Debt				0		0	355				600	700	700	0	0	2,355
Recoverable Debt				0		0										0
Other				0		0										0
Reserves/Res Funds				0		0										0
Development Charges				0		0										0
Provincial/Federal				0		0										0
Total Financing	0	0	0	0	0	0	355	0	0	0	600	700	700	0	0	2,355

2013 – 2022 Capital Plan

2013 Capital Budget, 2014 to 2022 Capital Plan – Project Cost and Cash Flows (in \$000s)

Office of the Ombudsman

	2011 & Prior Year Carry Forwards	2013 Previously Approved Cash Flow Commitments	2013 New Cash Flow Req	2013 Total Cash Flow Req	2012 Carry Forwards	Total 2013 Cash Flow (Incl 2012 CFwd)	2014	2015	2016	2017	2018	2019	2020	2021	2022	Total Project Cost
Expenditures																
<i>New Projects</i>																
Case Management System for Ombudsman									500							500
Case Management System SOGR				0		0								700		700
Total Expenditure	0	0	0	0	0	0	0	0	500	0	0	0	0	700	0	1,200
Financing																
Debt				0		0			500	0				700	0	1,200
Recoverable Debt				0		0										0
Other				0		0										0
Reserves/Res Funds				0		0										0
Development Charges				0		0										0
Provincial/Federal				0		0										0
Total Financing	0	0	0	0	0	0	0	0	500	0	0	0	0	700	0	1,200