



REPORT FOR ACTION

Rocchina Ditommaso and Rocchino Ditomasso - A contributor in the 2018 Municipal Election who appears to have contravened Contribution Limits under Section 88.9 of the Municipal Elections Act

Date: October 31, 2019

To: Compliance Audit Committee

From: City Clerk

Wards: All

SUMMARY

The City Clerk is sending an external auditor's report to the Compliance Audit Committee for consideration. The auditor completed its review of initial financial statements filed and identified Rocchina Ditommaso and Rocchino Ditomasso as having a similar name at the same address and, if they are the same contributor, appear to have exceeded the contribution limits in the Municipal Elections Act, 1996 (Municipal Elections Act). Within 30 days of receiving this report, the Compliance Audit Committee is required to decide whether to: (1) commence legal proceedings against the contributor for an apparent contravention; or (2) not to commence legal proceedings against the contributor for an apparent contravention. The Committee must also provide brief written reasons for its decision.

The Municipal Elections Act requires the City Clerk to review all contributions reported in financial statements submitted by candidates and registered third party advertisers in the 2018 municipal election and to prepare a separate report for each contributor who appears to have exceeded any of the contribution limits. The City Clerk retained an external auditor, BDO Canada LLP, through a competitive procurement process, to review all candidates and registered third party advertisers' financial statements and report on each apparent over-contribution.

RECOMMENDATIONS

The City Clerk recommends that the Compliance Audit Committee consider the auditor's report from BDO Canada LLP, attached as Appendix "B" to this report, and decide whether to commence a legal proceeding against the contributor for an apparent over-contribution.

FINANCIAL IMPACT

There is no financial impact associated with adopting the recommendation in this report.

DECISION HISTORY

There is no relevant decision history associated with this report.

COMMENTS

The City Clerk is sending to the Compliance Audit Committee for consideration the auditor's report entitled – Rocchina Ditommaso and Rocchino Ditommaso – Appears to have Contravened Contribution Limits under Section 88.9 of the Municipal Elections Act, 1996 and as attached as Appendix "B". The Municipal Elections Act requires that within 30 days of receiving this report, the Compliance Audit Committee must decide whether or not to commence legal proceedings against the contributor for an apparent contravention and provide brief written reasons for its decision.

For the 2018 municipal election, every candidate and third party advertiser is required to file an initial financial statement reflecting their campaign finances from the date they filed their nomination paper or had a notice of registration paper certified, respectively, up to December 31 of the election year. The financial statement captures campaign finance information, including expenses incurred and contributions received. Contributors who contributed more than \$100 to a candidate and/or third party advertiser must be listed on the financial statement, including the contributor name, address, and amount of contribution(s).

The City Clerk is required to review the list of contributions on all candidate and third party advertiser financial statements and prepare a report identifying each contributor who appears to have contravened any of the contribution limits set out in section 88.9 of the Municipal Elections Act.

The City Clerk hired an external auditor, BDO Canada LLP, to review the financial statements and report on each apparent over-contribution. The City Clerk provided the auditor with all relevant City records, including copies of every filed financial statement filed and contribution data extracted from the City of Toronto's Electronic Financial Filing System for the initial campaign period. The Electronic Financial Filing System is an in-house developed online application that allows candidates and third party advertisers to, among other things, electronically complete contribution receipts, track total amount of contributions received, and generate financial statements.

In compliance with the City Clerk's responsibilities under sections 88.34 and 88.36, the external auditor has:

- Reviewed official copies of candidate and third party advertisers' financial statements, as filed with the City Clerk, for the initial campaign period

(supplementary financial statements for candidates who extended their campaign will be considered at a later time); and/or

- Utilized contribution data extracted from the Electronic Financial Filing System, as necessary, to assist with the audit process; and
- Established data cleansing processes related to identifying information duplications, transposition errors, and similar/same names.

The auditor identified individuals with similar first or last names listed at the same address (e.g., M. vs. Mike vs. Michael) or same names listed at different addresses to determine any potential over-contributions. The auditor provided its preliminary findings to the City Clerk's Office, who performed its own due diligence by contacting the candidate to ask them to provide supporting documentation to help the City Clerk determine whether or not the contributors were the same individual.

The candidate, Angelo Carnevale, submitted documentation in the form of personal cheques from the contributor(s), as attached as Appendix "A". In detail, each cheque was examined for the following information: signatures, bank account numbers, printed names on the cheques, handwriting on the cheques and explanation provided with the documents (if applicable). With these factors considered, it could not be concluded with certainty that Rocchina Ditommaso and Rocchino Ditommaso were different individuals. If they are the same contributor, the contribution limits in the Municipal Elections Act appear to have been exceeded.

CONTACT

Fiona Murray, Deputy City Clerk, Election Services, 416-392-8019,
fiona.murray@toronto.ca.

SIGNATURE

Ulli S. Watkiss
City Clerk

ATTACHMENTS

A. Documentation provided on behalf of candidate Angelo Carnevale, dated Monday, October 21, 2019, that shows two personal cheques related to this matter.

B. BDO Canada LLP Report – Rocchina Ditommaso and Rocchino Ditommaso – Appears to have Contravened Contribution Limits under Section 88.9 of the Municipal Elections Act, 1996.

Appendix "A"



Canada Trust

Branch 00193 - 008

Monday October 22, 2018 - 02:11:44 PM (EDT)

ROCCHINA DITOMMASO
ROSINO DITOMMASO
41 SHESHI DRIVE
WOODBIDGE, ON L4H 2S7
(905) 653-0597

013

DATE Oct 18, 2018

Rocchina DiTommaso

PAY TO THE
ORDER OF

Angelo Carnevale

\$ 1000.00

One thousand dollars

100 DOLLARS

Security features
included.
Denies on back.



Canada Trust

124 WOODBRIDGE AVENUE
WOODBIDGE, ONTARIO L4L 2S7

MEMO

Rocchina DiTommaso

R. A. DiTommaso

email - rocchina-ditommaso@rogers.com

60311

Added

Teller Stamp Here



PRINTED IN CANADA

Identification mark of the CSA	WARNING: IF ALTERED, THE SECURITY FEATURES WILL APPEAR AS FOLLOWS.
Microprint	Tiny type in signature line appears as dotted line when photocopied.
Security Screen	"Original Document" text, and weave pattern visible on back of cheque, should not appear if photocopied.

This is not a negotiable instrument. It is a copy of a previously
negotiated cheque and is produced for information purposes only.

Appendix "A"



Branch 00193 - 008

Monday October 22, 2018 - 02:11:55 PM (EDT)

www.tdcanadatrust.com www.tdcanadatrust.com www.tdcanadatrust.com www.tdcanadatrust.com

 Mrs Rocchina DiTommaso OR
Mr Rosino DiTommaso
41 SHESHI DRIVE
WOODBIDGE, ON L4H 2S7

532

DATE 2018-10-18
Y Y Y Y M M D D

PAY TO THE ORDER OF Angelo Carnevale \$1000.00
One thousand dollars - XX 100 DOLLARS

 Security features included. Details on back.

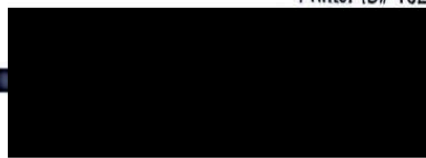
 **Canada Trust**
8270 HIGHWAY 27
VAUGHAN, ON L4H 0R9

MEMO Rosino DiTommaso
email - rditommaso@citywipiedh.com

R. DiTommaso

5711

Printer ID# 1021



Endorsement - Signature or Stamp

BACK/VERSO

This is not a negotiable instrument. It is a copy of a previously negotiated cheque and is produced for information purposes only.