

Attachment 1 – Compliance Reports (January – March 2019)

City of Toronto
COMPLIANCE REPORTS
As of January 31, 2019
Currency: Canadian Dollars

RBC Investor & Treasury Services

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Level III - Manager Mandates

City of Toronto - Sinking Fund

As of January 31, 2019

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Summary	Guideline	Min	Max	Result	Status
Equity	Equity holdings must be listed on a public exchange	-	-	-	✓
Fixed Income	Government of Canada, Provincial, Municipal and its agencies shall have a rating of A and above	-	-	-	✓
Fixed Income	Corporate fixed income shall have an overall credit ratings of A- and above	-	-	-	✓
Fixed Income	No single issue may be below investment grade	-	-	-	✓

Level III - Manager Mandates

City of Toronto - Sinking Fund

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Asset Mix	Min (%)	Max (%)	Result	Status
Global Equities	0.00%	30.00%	0.00%	✓
Total Equities	0.00%	30.00%	0.00%	✓
Federal and Federal Guaranteed and Supranational bonds	0.00%	100.00%	0.00%	✓
Provincial, Provincial Guaranteed and Municipal bonds	0.00%	100.00%	99.71%	✓
Corporate Bonds	0.00%	60.00%	0.24%	✓
Total Bonds	50.00%	100.00%	99.95%	✓
Real Estate (Canadian Core)	0.00%	10.00%	0.00%	✓
Infrastructure (Global Core)	0.00%	5.00%	0.00%	✓
Total Real Estate	0.00%	15.00%	0.00%	✓
Cash and Equivalents	0.00%	5.00%	0.05%	✓

Level III - Manager Mandates

City of Toronto - Long Term Fund

As of January 31, 2019

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Summary	Guideline	Min	Max	Result	Status
Equity	Equity holdings must be listed on a public exchange	-	-	-	✓
Fixed Income	Government of Canada, Provincial, Municipal and its agencies shall have a rating of A and above	-	-	-	✓
Fixed Income	Corporate fixed income shall have an overall credit ratings of A- and above	-	-	-	✓
Fixed Income	No single issue may be below investment grade	-	-	-	✓

Level III - Manager Mandates

City of Toronto - Long Term Fund

As of January 31, 2019

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Asset Mix	Min (%)	Max (%)	Result	Status
Global Equities	0.00%	30.00%	0.00%	✓
Total Equities	0.00%	30.00%	0.00%	✓
Federal and Federal Guaranteed and Supranational bonds	0.00%	100.00%	3.84%	✓
Provincial, Provincial Guaranteed and Municipal bonds	0.00%	100.00%	84.67%	✓
Corporate Bonds	0.00%	60.00%	11.48%	✓
Total Bonds	50.00%	100.00%	99.99%	✓
Real Estate (Canadian Core)	0.00%	10.00%	0.00%	✓
Infrastructure (Global Core)	0.00%	5.00%	0.00%	✓
Total Real Estate	0.00%	15.00%	0.00%	✓
Cash and Equivalents	0.00%	5.00%	0.01%	✓

Exception Report: Securities listed below are not included in the calculation of the given rule.

No exceptions to report

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No exceptions to report

For Your Information

- This report is subject to the parameters as defined in Schedule 'A'.
- All security characteristics and market indices are sourced from Bloomberg LLP and Rimes Technology.
- Bonds with a maturity of less than one year are classified as short term securities.
- All evaluations are treated as if the pooled or mutual funds units held within the fund are in compliance as we do not evaluate the underlying assets of those funds.
- FX rates: WM Company London Close.
- This report is for reporting purposes only and in no way provides specific advice in the evaluation or allocation of your fund.
- This report has been produced on a best effort basis using the information made available at the time of production.
- ✓ = results are within the defined rules.
- ✗ = results are not within the defined rules.

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Risk & Investment Analytics



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Level III - Manager Mandates

City of Toronto - Sinking Fund

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Summary	Guideline	Min	Max	Result	Status
Equity	Equity holdings must be listed on a public exchange	-	-	-	✓
Fixed Income	Government of Canada, Provincial, Municipal and its agencies shall have a rating of A and above	-	-	-	✓
Fixed Income	Corporate fixed income shall have an overall credit ratings of A- and above	-	-	-	✓
Fixed Income	No single issue may be below investment grade	-	-	-	✓

Level III - Manager Mandates

City of Toronto - Sinking Fund

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Asset Mix	Min (%)	Max (%)	Result	Status
Global Equities	0.00%	30.00%	0.00%	✓
Total Equities	0.00%	30.00%	0.00%	✓
Federal and Federal Guaranteed and Supranational bonds	0.00%	100.00%	0.00%	✓
Provincial, Provincial Guaranteed and Municipal bonds	0.00%	100.00%	99.67%	✓
Corporate Bonds	0.00%	60.00%	0.25%	✓
Total Bonds	50.00%	100.00%	99.92%	✓
Real Estate (Canadian Core)	0.00%	10.00%	0.00%	✓
Infrastructure (Global Core)	0.00%	5.00%	0.00%	✓
Total Real Estate	0.00%	15.00%	0.00%	✓
Cash and Equivalents	0.00%	5.00%	0.08%	✓

Risk & Investment Analytics



RBC Investor &
Treasury Services

Level III - Manager Mandates

City of Toronto - Long Term Fund

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Summary	Guideline	Min	Max	Result	Status
Equity	Equity holdings must be listed on a public exchange	-	-	-	✓
Fixed Income	Government of Canada, Provincial, Municipal and its agencies shall have a rating of A and above	-	-	-	✓
Fixed Income	Corporate fixed income shall have an overall credit ratings of A- and above	-	-	-	✓
Fixed Income	No single issue may be below investment grade	-	-	-	✓

Level III - Manager Mandates

City of Toronto - Long Term Fund

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Asset Mix	Min (%)	Max (%)	Result	Status
Global Equities	0.00%	30.00%	0.00%	✓
Total Equities	0.00%	30.00%	0.00%	✓
Federal and Federal Guaranteed and Supranational bonds	0.00%	100.00%	3.82%	✓
Provincial, Provincial Guaranteed and Municipal bonds	0.00%	100.00%	84.56%	✓
Corporate Bonds	0.00%	60.00%	11.48%	✓
Total Bonds	50.00%	100.00%	99.86%	✓
Real Estate (Canadian Core)	0.00%	10.00%	0.00%	✓
Infrastructure (Global Core)	0.00%	5.00%	0.00%	✓
Total Real Estate	0.00%	15.00%	0.00%	✓
Cash and Equivalents	0.00%	5.00%	0.14%	✓

Risk & Investment Analytics



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Level III - Manager Mandates

City of Toronto - Sinking Fund

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Summary	Guideline	Min	Max	Result	Status
Equity	Equity holdings must be listed on a public exchange	-	-	-	✓
Fixed Income	Government of Canada, Provincial, Municipal and its agencies shall have a rating of A and above	-	-	-	✓
Fixed Income	Corporate fixed income shall have an overall credit ratings of A- and above	-	-	-	✓
Fixed Income	No single issue may be below investment grade	-	-	-	✓

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Asset Mix	Min (%)	Max (%)	Result	Status
Global Equities	0.00%	30.00%	0.00%	✓
Total Equities	0.00%	30.00%	0.00%	✓
Federal and Federal Guaranteed and Supranational bonds	0.00%	100.00%	0.00%	✓
Provincial, Provincial Guaranteed and Municipal bonds	0.00%	100.00%	99.39%	✓
Corporate Bonds	0.00%	60.00%	0.24%	✓
Total Bonds	50.00%	100.00%	99.63%	✓
Real Estate (Canadian Core)	0.00%	10.00%	0.00%	✓
Infrastructure (Global Core)	0.00%	5.00%	0.00%	✓
Total Real Estate	0.00%	15.00%	0.00%	✓
Cash and Equivalents	0.00%	5.00%	0.37%	✓

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City of Toronto - Long Term Fund

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Fixed Income	Government of Canada, Provincial, Municipal and its agencies shall have a rating of A and above	-	-	-	✓
Fixed Income	Corporate fixed income shall have an overall credit ratings of A- and above	-	-	-	✓
Fixed Income	No single issue may be below investment grade	-	-	-	✓

Level III - Manager Mandates

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Asset Mix	Min (%)	Max (%)	Result	Status
Global Equities	0.00%	30.00%	0.00%	✓
Total Equities	0.00%	30.00%	0.00%	✓
Federal and Federal Guaranteed and Supranational bonds	0.00%	100.00%	3.84%	✓
Provincial, Provincial Guaranteed and Municipal bonds	0.00%	100.00%	85.16%	✓
Corporate Bonds	0.00%	60.00%	10.99%	✓
Total Bonds	50.00%	100.00%	99.99%	✓
Real Estate (Canadian Core)	0.00%	10.00%	0.00%	✓
Infrastructure (Global Core)	0.00%	5.00%	0.00%	✓
Total Real Estate	0.00%	15.00%	0.00%	✓
Cash and Equivalents	0.00%	5.00%	0.01%	✓

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