

**Presentation to the Audit Committee  
on November 2, 2021  
Agenda Items AU10.2 and AU10.3**

**AUDITOR  
GENERAL**  

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**TORONTO**

# **Auditor General's 2022 Operating Budget & 2022 Work Plan**

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Auditor General

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Deputy Auditor General (A)

- 1. Demonstrating Our Value**
- 2. 2022 Budget Request**
- 3. 2022 Work Plan**

# Demonstrating Our Value



## Audits & Investigations

- Cybersecurity, software licences, BIA investigation, winter maintenance, contracting practices
- Ongoing audits at Toronto Police, TCHC, and shelters

## Net Positive Return

- **\$385.3 million\*** in savings and revenue increases realized over five years (2016–2020)
- **\$12** return on investment (2016 – 2020)

## Fraud & Waste

- Preventing, detecting, and deterring Fraud and Waste by operating a **24/7 hotline**

# Budget Enhancement Request

- \$675,000 to **restore** Auditor General's budget to **pre-pandemic (2020)** levels
- 2022 budget is 0.054% of the City's 2021 Operating Budget

Restoring funding to pre-pandemic levels	
Emerging risks and expanded mandate	} <b>\$675,000</b>
IT & Cyber projects	
Investigations	
City's Response to COVID-19 - <b>optional</b>	325,000

2020 Council Approved Budget	2021 Council Approved Budget	2021 Auditor General Recommended Budget	% Change
\$7,375,800	\$6,640,600	<b>\$7,657,700</b>	15%

# 2022 Challenges and Priority Actions



## Challenges

1. **Expanded mandate** and promptly responding to emerging risks
2. **Cybersecurity** and IT audits needed as the City continues to modernize
3. **High-risk investigations** and clearing backlog of hotline complaints

## Priority Actions

1. Keep **pace with risks** and evaluate and prioritize new requests
2. Continue to provide valuable **independent oversight** of critical systems
3. Respond to **fraud risks** and hire specialists when needed

# 2022 Work Plan



## Current and Upcoming (2022)

### Agencies and Corporations

- Toronto **Police** Service – **Assessing Policing Responsibilities & Service Areas**
- Toronto **Police** Service – **911** operational audit
- **TTC - Cybersecurity** assessment of IT infrastructure (Phase 1)
- **TTC** – **Streetcars** Operational Audit
- **TCHC** - Contracted Property Management

### City Divisions

- IT - **Cyber Security assessment** of Toronto Fire Computer Aided Dispatch (Phase 2)
- Toronto **Building** – **Inspections**
- Toronto **Building** – **Plan Review & Customer Service**
- Shelter, Support and Housing Administration – **Emergency Shelters**
- City Planning – **Affordable Rental Replacement Units**
- Housing Secretariat – **Modular Housing**
- Non-competitive contract – **Category Management and Strategic Sourcing**

## Audit Horizon (2022-2023)

### Agencies and Corporations

- Toronto **Police** Service – Performance Audit per Work Plan
- Toronto Public **Library** – **Cybersecurity** Assessment
- **TTC** – **Cybersecurity** Assessment (Phase 2)
- **TTC** – **Workforce** Planning
- **TCHC** - Contract Management

### City Divisions

- Parks, Forestry and Recreation - **Parks Development & Capital Projects** Branch
- Housing Secretariat - **Affordable Rental Housing**
- **City Planning** - Operational Review
- Toronto **Fire** Services - Mechanical (**Fleet**) Maintenance
- **Street Construction** and Utility Work
- Transportation Services – **Utility Cuts**
- IT – **City Major IT Projects**
- Toronto Water and Engineering & Construction Services - **Basement Flooding** Protection Program
- Toronto **Office of Partnerships** – **Unsolicited Proposals**

# Recap and Conclusion

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Providing value through an **independent and objective** lens



**Restoring** \$675,000 to budget (pre-pandemic level) + temporary funding of \$325,000 for a COVID-19 continuous improvement audit (optional)



**Keeping pace** with **risks, emerging issues** and **requests for assistance** while providing City-wide audit coverage

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