

Nominating Panel - Compliance Audit Committee

Meeting No.	2	Contact	Jennifer Pitt, Committee Administrator
Meeting Date	Friday, May 20, 2022 Tuesday, May 24, 2022	Phone	416-395-7352
Start Time	9:30 AM	E-mail	Jennifer.C.Pitt@toronto.ca
Location	Video Conference	Chair	Anna Kinastowski

NB2.1	ACTION	Amended		Ward: All
-------	--------	---------	--	-----------

Appointment of Public Members to the Compliance Audit Committee

Confidential Attachment - Personal matters about identifiable individuals who are being considered for appointment to the Compliance Audit Committee.

Panel Recommendations

The Nominating Panel - Compliance Audit Committee recommends that:

1. City Council appoint the following candidates to the Compliance Audit Committee for a term of office coincident with the term of Council that takes place following the 2022 regular municipal election:
 - a. Sara Gelgor, as Chair;
 - b. Lawrence D'Souza; and
 - c. Ramin Faraji.
2. City Council waive the Public Appointments Policy restriction on multiple appointments with regard to the appointment of Lawrence D'Souza in Recommendation 1 above.
3. City Council appoint the following candidates to the Compliance Audit Committee as alternates in the order listed, for a term of office coincident with the term of Council that takes place following the 2022 regular municipal election, such appointments to be effective only in the event that any vacancies on the committee occur:
 1. Jane Waechter; and
 2. Joe Wong.
4. City Council direct that Confidential Attachments 1 to 5 to the report (May 9, 2022) from the City Clerk remain confidential in their entirety, as they relate to personal matters about identifiable individuals being considered for appointment to the Compliance Audit Committee.

Decision Advice and Other Information

Candidates' Biographies:

Sara Gelgor

Sara Gelgor is an experienced legal, compliance, ethics, governance and sustainability professional, and has held senior leadership roles in the Canadian financial services sector for over twenty years. She is a Public Director and Audit Committee member of the Financial Advisors Association of Canada and is a past chair of North York General Hospital Foundation's Audit Committee. Sara currently teaches corporate ethics in the Governance Professionals of Canada designation program. She holds a Bachelor of Arts from the University of Toronto, a Bachelor of Laws from Queen's University, a Master of Laws from Cambridge University, an Master of Business Administration from the Rotman School of Management, and the Graduate Diploma in Corporate and Social Responsibility from the University of St. Michael's College in the University of Toronto. Sara earned the Institute of Corporate Directors, Director corporate directors' designation and the Ontario Bar Association Certification in Adjudicative Tribunal Competencies.

Lawrence D'Souza

Lawrence D'Souza, a Certified Practicing Accountant and a Certified Internal Auditor, brings a diverse set of skills and real-world experience from the private and public sectors in finance, audit, risk management, policy and corporate governance. He holds multiple professional designations and certifications in management, accounting, auditing and risk management. Besides the private sector, he has worked for the Canadian federal and Ontario provincial governments.

Ramin Faraji

As an experienced and multi-disciplinary professional with extensive financial management experience and in-depth knowledge of the Municipal Election Act, 1996 and its Campaign Finance rules, Ramin Faraji has the technical skills and experience to make sound, and unbiased decisions on applications for compliance audits.

Jane Waechter

Jane Waechter has over 25 years of litigation and financial services industry experience. She has led in-house litigation teams, has conducted public interest litigation, and is a former partner at a national law firm. Ms. Waechter has appeared as counsel before tribunals and at all levels of court, including the Supreme Court of Canada. She has served on an industry disciplinary tribunal and has extensive experience on not-for-profit boards. She is a certified public accountant, chartered accountant.

Joe Wong

Joe G. Wong is a lawyer, adjudicator, business executive and long-time resident of the City. He served for 5 years (2008-2013) as a full-time member of the Ontario Municipal Board. He has worked as in-house legal counsel and business executive at leading organizations and major real estate companies. Joe holds a Bachelor of Commerce from the University of British Columbia and a Bachelor of Laws from Osgoode Hall Law School, and was called to the Ontario Bar in 1997.

Origin

(May 9, 2022) Report from the City Clerk

Summary

The Compliance Audit Committee Nominating Panel will interview and recommend five public members to City Council for appointment to the Compliance Audit Committee.

Background Information

(May 9, 2022) Report from the City Clerk on Appointment of Public Members to the Compliance Audit Committee

<https://www.toronto.ca/legdocs/mmis/2022/nb/bgrd/backgroundfile-225656.pdf>

Confidential Attachment 1 - List of Candidates and Qualifications Summary, and Applications for Appointment to the Compliance Audit Committee

Confidential Attachment 2 - Diversity Information Summary for Current Public Members of the Compliance Audit Committee

Confidential Attachment 3 - Interview Questions for May 20 and 24, 2022

Confidential Attachment 4 - Interview Schedule for May 20 and 24, 2022

Confidential Attachment 5 - References letters