

**AUDITOR GENERAL'S OFFICE 2023 WORK PLAN  
BACKLOG OF AUDIT PROJECTS**

This list provides a backlog of audits that the Auditor General can only undertake with more resources or in the future. Any additional resources allocated to the Auditor General will help reduce this backlog. Specific details and the audit scope for these projects will be more fully developed when the necessary resources become available.

**Agencies and Corporations:**

<b>City's Agencies and Corporations</b>	
<b>1.</b>	Governance and Accountability Framework with Agencies & Corporations
<b>2.</b>	Toronto Community Housing Corporation (TCHC) – Community Safety Plan and Violence Reduction Program
<b>3.</b>	Toronto Community Housing Corporation (TCHC) – Capital Planning
<b>4.</b>	Toronto Community Housing Corporation (TCHC) – Arrears Management
<b>5.</b>	Toronto Community Housing Corporation (TCHC) – Cybersecurity
<b>6.</b>	Toronto Community Housing Corporation (TCHC) – Vacancy Management
<b>7.</b>	Toronto Transit Commission (TTC) – Bus Operational Review
<b>8.</b>	Toronto Transit Commission (TTC) – Subway Operational Review
<b>9.</b>	Toronto Transit Commission (TTC) – Transit Planning
<b>10.</b>	Toronto Transit Commission (TTC) – SAP Implementation
<b>11.</b>	Create TO – Operational Review
<b>12.</b>	Seniors Housing Corporation – Operational Review

<b>Restricted Boards – Auditor General requires invitation by Boards<sup>1, 2</sup></b>	
<b>13.</b>	Toronto Public Library (TPL) – Capital Projects - Contract Procurement and Management
<b>14.</b>	Toronto Public Library (TPL) – Facilities / Building Maintenance
<b>15.</b>	Toronto Public Library (TPL) – Information Technology and Digital Strategy
<b>16.</b>	Toronto Public Library (TPL) – Internal Control Environment of Significant Financial Processes/Systems
<b>17.</b>	Toronto Public Library (TPL) – Operating Contracts – Contract Procurement and Management
<b>18.</b>	Toronto Public Library (TPL) – Series of Operational Reviews
<b>19.</b>	Toronto Police Service (TPS) – Business Continuity
<b>20.</b>	Toronto Police Service (TPS) – Court Services
<b>21.</b>	Toronto Police Service (TPS) – Fleet
<b>22.</b>	Toronto Police Service (TPS) – IT Contract Review

<sup>1</sup> <https://www.toronto.ca/legdocs/mmis/2021/au/bgrd/backgroundfile-172210.pdf>

<sup>2</sup> <https://www.toronto.ca/legdocs/mmis/2021/au/bgrd/backgroundfile-172211.pdf>

23. Toronto Police Service (TPS) – IT Infrastructure & Governance
24. Toronto Police Service (TPS) – Occupational Health & Safety
25. Toronto Police Service (TPS) – Paid Duty
26. Toronto Police Service (TPS) – Parking Enforcement
27. Toronto Police Service (TPS) – Police Facilities
28. Toronto Police Service (TPS) – Proactive Community Policing
29. Toronto Police Service (TPS) – Race Based Data Collection
30. Toronto Police Service (TPS) – Response to Mental Health Calls
31. Toronto Police Service (TPS) – Traffic Services
32. Toronto Police Service (TPS) – Use of Body Camera Evaluation
33. Toronto Police Service (TPS) – Workforce Administration
34. Toronto Police Service (TPS) – IT Cybersecurity Assessment Phase 2

### **City Divisions<sup>3</sup>:**

#### **Community and Social Services:**

35. Parks, Forestry and Recreation – Community Recreation – Operational Review
36. Parks, Forestry and Recreation - Parks Development & Capital Projects Branch – Planning, Design, Construction and Contract Management
37. Seniors Services and Long-Term Care – Operational Review
38. Toronto Employment & Social Services/Children's Services/Shelter Support & Housing Administration (SSHA) – Human Services Integration
39. Economic Development and Culture - Business Improvement Areas - Governance and Controls

#### **Corporate Services:**

40. 311 Toronto – Operational review
41. Corporate Real Estate Management – Facilities Management – Capital Projects
42. Corporate Real Estate Management – Facilities Management – Corporate Security Contracted Services
43. Corporate Real Estate Management – Facilities Management – Operational Review of Repairs and Maintenance Program for City Owned Properties
44. Corporate Real Estate Management – Review of the Workplace Modernization Program
45. Technology Services - Cybersecurity Risks Related to City's Vendors / Service Providers
46. Technology Services - Data Centre Modernization
47. Technology Services – IT Business Continuity and Disaster Recovery Planning

<sup>3</sup> An audit of the City's Response to COVID-19 Pandemic is deferred and may be part of a broader audit of emergency planning.

**Finance and Treasury Services:**

- 48. Revenue Streams – Municipal Accommodation Tax
- 49. Pension, Payroll and Employee Benefits – Time Reporting
- 50. Purchasing and Materials Management – Third-Party Contracts and Strategic Sourcing of Goods and Services
- 51. Purchasing and Materials Management - Contract Awards and Commitments
- 52. Revenue Services – Vacant Home Tax
- 53. Revenue Services – Administrative Penalty System

**Infrastructure and Development Services:**

- 54. Transportation Services Permit and Application Fee Revenue Collection, including Construction Hoarding Signs
- 55. Solid Waste Management Services – Contract Management of Collections and Litter Operations
- 56. Engineering and Construction Services – Bridge Inspection Program
- 57. Environment & Energy – Review of City-owned Buildings Being Managed with Due Regard to the Effects on the Environment
- 58. Municipal Licensing & Standards – Private Transportation Companies
- 59. Toronto Water – Asset Management
- 60. Toronto Water – Construction Projects
- 61. Toronto Water – Contract Management of Major Vendors
- 62. City Planning – Multi-phased Operational Reviews of Development Applications
- 63. Office of Emergency Management - Business Continuity Management Program